

ABIOMED INC  
Form 4  
May 07, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Greenfield Andrew J

(Last) (First) (Middle)

C/O ABIOMED, INC., 22 CHERRY HILL DRIVE

(Street)

DANVERS, MA 01923

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ABIOMED INC [ABMD]

3. Date of Earliest Transaction (Month/Day/Year)  
05/05/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)

VP Healthcare Solutions

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price   |
| Common Stock, \$.01 par value   | 05/05/2015                           |  | A                              |   | 10,500 <sup>(5)</sup>   | A  | \$ 0  |
| Common Stock, \$.01 par value   | 05/07/2015                           |  | S <sup>(6)</sup>               |   | 1,877 <sup>(6)</sup>  | D  | \$ 70.2247 <sup>(7)</sup>                             |
| Common Stock, \$.01 par value   | 05/07/2015                           |  | S <sup>(6)</sup>               |   | 700 <sup>(6)</sup>  | D  | \$ 71.21 <sup>(8)</sup>                               |

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|  |            |                  |                    |   |                                  |        |   |
|--|------------|------------------|--------------------|---|----------------------------------|--------|---|
| Common<br>Stock,<br>\$.01 par<br>value | 05/07/2015 | S <sup>(6)</sup> | 623 <sup>(6)</sup> | D | \$<br>72.0963<br><sup>(9)</sup>  | 69,038 | D |
| Common<br>Stock,<br>\$.01 par<br>value | 05/07/2015 | S <sup>(6)</sup> | 300 <sup>(6)</sup> | D | \$<br>73.1267<br><sup>(10)</sup> | 68,738 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (right to buy) <sup>(1)</sup> | \$ 13.57   |                                      |  |                                |   | 06/01/2007 <sup>(2)</sup> 06/01/2016                     | Common Stock  | 0                             |
| Stock Option (right to buy) <sup>(1)</sup> | \$ 11.27   |                                      |  |                                |   | 05/30/2008 <sup>(2)</sup> 05/30/2017                     | Common Stock  | 0                             |
| Stock Option (right to buy) <sup>(1)</sup> | \$ 13.8  |                                      |  |                                |   | 05/23/2009 <sup>(2)</sup> 05/23/2018                     | Common Stock  | 0                             |
| Stock Option (right to buy) <sup>(3)</sup> | \$ 10.03   |                                      |  |                                |   | 06/03/2011 <sup>(2)</sup> 06/03/2020                     | Common Stock  | 0                             |

|   |          |                           |            |                 |   |
|---|----------|---------------------------|------------|-----------------|---|
| Stock<br>Option<br>(right to<br>buy) <sup>(3)</sup> | \$ 22.44 | 05/22/2013 <sup>(2)</sup> | 05/22/2022 | Common<br>Stock | 0 |
| Stock<br>Option<br>(right to<br>buy) <sup>(3)</sup> | \$ 14.39 | 02/19/2014 <sup>(2)</sup> | 02/19/2023 | Common<br>Stock | 0 |
| Stock<br>Option<br>(Right to<br>Buy) <sup>(3)</sup> | \$ 23.15 | 05/14/2014 <sup>(2)</sup> | 05/14/2023 | Common<br>Stock | 0 |
| Stock<br>Option<br>(right to<br>buy) <sup>(3)</sup> | \$ 21.55 | 05/14/2015 <sup>(4)</sup> | 05/14/2024 | Common<br>Stock | 0 |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| Greenfield Andrew J<br>C/O ABIOMED, INC.<br>22 CHERRY HILL DRIVE<br>DANVERS, MA 01923 |               |           | VP Healthcare Solutions |       |

## Signatures

/s/ Stephen C. McEvoy (by power of attorney) 05/07/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 2000 Stock Incentive Plan.
- (2) These options became exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (3) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 2008 Stock Incentive Plan.
- (4) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.  
Represents shares of common stock underlying performance-based awards of restricted stock units granted to this reporting person on May 14, 2014. One third of these restricted stock units vested on May 5, 2015 (into an equal number of shares of common stock) upon the issuer's achievement of a certain performance milestone with the remaining vesting on the first and second anniversary of the date of the grant.
- (5)
- (6) Sale of common stock pursuant to reporting owner's 10b5-1 plan, including for payment of withholding tax liability associated with the vesting of performance-based awards of restricted stock units.
- (7) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$69.7900 and \$70.7500. Detailed information regarding the number of shares sold at each separate price will be provided upon request

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by the Commission staff, the Issuer, or a security holder of the Issuer.

(8) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$70.8000 and \$71.7200. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

(9) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$71.9000 and \$72.7100. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

(10) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$72.9300 and \$73.3100. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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