Edgar Filing: CRACCHIOLO JAMES M - Form 4

| CRACCHI Form 4 | OLO JAMES M | | | | | | | | | |
|---|---|---------------|---|--|------------|----------------------|---|--|---|--|
| May 14, 20 | 13 | | | | | | | | | |
| FORM | | STATES SI | CUDITIES | AND FY | Ч Л I' | NCF CO | MMISSION | | PROVAL | |
| UNITED STATES SECU | | | | on, D.C. 20 | | NGE CU | | OMB Number: | 3235-0287 | |
| Check t if no loi | laer | | | | | | | Expires: | January 31, 2005 | |
| subject Section Form 4 | to STATEN 16. or | | URITIES | | | | Estimated a burden hour response | verage | | |
| Form 5 obligati may con <i>See</i> Inst 1(b). | ons ntinue. Section 17(| a) of the Pub | tion 16(a) of blic Utility H the Investme | olding Com | pany | Act of 1 | Act of 1934, 935 or Section | | | |
| (Print or Type | Responses) | | | | | | | | | |
| CRACCHIOLO JAMES M Symbol | | | | and Ticker or | | Is Is | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | [A | MP] | (Check an applicable) | | | | | | |
| (Last) | (First) (A | | Date of Earliest Ionth/Day/Year | _X Director _X Officer (give t | title Othe | Owner er (specify | | | | |
| OFFICE, 1 | L COUNSEL'S 098 AMERIPRIS AL CENTER | 05 | 5/10/2013 | , | | b | elow) Chairi | below) man and CEO | | |
| Filed(Month/Day/Year) Applicable Line) | | | | | | | X_ Form filed by O | ne Reporting Per | rson | |
| MINNEAF | POLIS, MN 55474 | | | | | P | Form filed by Mo erson | ore than One Re | porting | |
| (City) | (State) | (Zip) | Table I - Nor | n-Derivative S | Securi | ties Acqui | red, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Code | if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) r) (Instr. 8) (A) | | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | V Amount | or (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 05/10/2013 | | М | 100,000 | А | \$ 43.655 | 470,550 | D | | |
| Common Stock | 05/10/2013 | | F | 78,799 | D | \$ 78 | 391,751 | D | | |
| Common Stock | 05/10/2013 | | S | 10,600 | D | \$ 78 | 381,151 | D | | |
| Common Stock | 05/13/2013 | | S | 22,075 | D | \$ 79.1 | 359,076 | D | | |
| | 05/14/2013 | | М | 100.000 | А | | 459.076 | D | | |

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| Common Stock | | | | | \$ 43.655 | | | |
|-----------------|------------|---|--------|---|--------------|----------|---|------------------------------|
| Common Stock | 05/14/2013 | F | 77,856 | D | \$ 80.8 | 381,220 | D | |
| Common Stock | 05/14/2013 | S | 11,072 | D | \$ 80.8 | 370,148 | D | |
| Common Stock | | | | | | 1,377.02 | I | By 401(k) Plan <u>(1)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | orDeri Secu Acq Disp | umber of vative urities uired (A) or osed of (D) r. 3, 4, and | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|-------------------------------|--|--|--------------------|---|--------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amoun Numbe Shares |
| Employee Stock Option (Right to Buy) | \$ 43.655 | 05/10/2013 | | М | | 100,000 | 01/26/2010 | 01/27/2016 | Common Stock | 100,0 |
| Employee Stock Option (Right to Buy) | \$ 43.655 | 05/14/2013 | | М | | 100,000 | 01/26/2010 | 01/27/2016 | Common Stock | 100,0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|---------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| CRACCHIOLO JAMES M GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474 | Х | | Chairman and CEO | | | | | |

Signatures

/s/ Thomas R. Moore for James M. Cracchiolo

**Signature of Reporting Person

05/14/2013 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise(1) Financial 401(k) plan as of May 6, 2013. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.