

ALIGN TECHNOLOGY INC

Form 4

August 22, 2005

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Gund Llura L

(Last) (First) (Middle)

14 NASSAU STREET

(Street)

PRINCETON, NJ 08542

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
ALIGN TECHNOLOGY INC  
[ALGN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/18/2005

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_X\_\_\_\_ Other (specify  
below) below)

See Remark Section

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 08/18/2005                              |                                                             | P                                    | 2,000                                                                   | A \$ 6.5 3,000                                                                                                     | I                                                                    | See<br>Footnote<br>(1)                                            |
| Common<br>Stock                       | 08/18/2005                              |                                                             | P                                    | 300,000                                                                 | A \$ 6.5 600,000                                                                                                   | I                                                                    | See<br>Footnote<br>(2)                                            |
| Common<br>Stock                       | 08/19/2005                              |                                                             | P                                    | 50,000                                                                  | A \$ 6.47 130,000                                                                                                  | I                                                                    | See<br>Footnote<br>(3)                                            |
| Common<br>Stock                       | 08/19/2005                              |                                                             | P                                    | 50,000                                                                  | A \$ 6.47 130,300                                                                                                  | I                                                                    | See<br>Footnote                                                   |

|                 |            |   |        |   |            |         |   |                                   |
|-----------------|------------|---|--------|---|------------|---------|---|-----------------------------------|
| Common<br>Stock | 08/19/2005 | P | 60,000 | A | \$<br>6.47 | 161,000 | I | (4)<br><br>See<br>Footnote<br>(5) |
|-----------------|------------|---|--------|---|------------|---------|---|-----------------------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number<br>of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 5) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                                                                     |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |         |                    |
|-----------------------------------------------------------|---------------|-----------|---------|--------------------|
|                                                           | Director      | 10% Owner | Officer | Other              |
| Gund Llura L<br>14 NASSAU STREET<br>PRINCETON, NJ 08542   |               |           |         | See Remark Section |
| Gund Grant<br>14 NASSAU STREET<br>PRINCETON, NJ 08542     |               |           |         | See Remark Section |
| Gund G Zachary<br>14 NASSAU STREET<br>PRINCETON, NJ 08542 |               |           |         | See Remark Section |
| Watson Richard<br>14 NASSAU STREET<br>PRINCETON, NJ 08542 |               |           |         | See Remark Section |
|                                                           |               |           |         | See Remark Section |

Dent Rebecca H  
14 NASSAU STREET  
PRINCETON, NJ 08542

GUND GEORGE III  
14 NASSAU STREET  
PRINCETON, NJ 08542

See Remark Section

Barrows Gail  
14 NASSAU STREET  
PRINCETON, NJ 08542

See Remark Section

Gund CLAT Investments, LLC  
14 NASSAU STREET  
PRINCETON, NJ 08542

See Remark Section

GUND GORDON  
14 NASSAU STREET  
PRINCETON, NJ 08542

See Remark Section

## Signatures

|                                                                 |            |
|-----------------------------------------------------------------|------------|
| Theodore W. Baker as Attorney in Fact                           | 08/22/2005 |
| __Signature of Reporting Person                                 | Date       |
| Theodore W. Baker as Attorney in Fact                           | 08/22/2005 |
| __Signature of Reporting Person                                 | Date       |
| Theodore W. Baker as Attorney in Fact for Gordon Gund - Manager | 08/22/2005 |
| __Signature of Reporting Person                                 | Date       |
| Theodore W. Baker as Attorney in Fact                           | 08/22/2005 |
| __Signature of Reporting Person                                 | Date       |
| Theodore W. Baker as Attorney in Fact                           | 08/22/2005 |
| __Signature of Reporting Person                                 | Date       |
| Theodore W. Baker as Attorney in Fact                           | 08/22/2005 |
| __Signature of Reporting Person                                 | Date       |
| Theodore W. Baker as Attorney in Fact                           | 08/22/2005 |
| __Signature of Reporting Person                                 | Date       |
| Theodore W. Baker as Attorney in Fact                           | 08/22/2005 |
| __Signature of Reporting Person                                 | Date       |
| Theodore W. Baker as Attorney in Fact                           | 08/22/2005 |
| __Signature of Reporting Person                                 | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are owned by Georgia Swift Gund Gift Trust and by G. Zachary Gund and Rebecca H. Dent, as Trustees.
- (2) These securities are owned by the G. Zachary Gund Descendants Trust and by G. Zachary Gund and Rebecca H. Dent, as Trustees.

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- (3) These securities are owned by Grant Owen Gund Gift Trust and by Grant Gund and Rebecca H. Dent, as Trustees.
- (4) These securities are owned by Llura Blair Gund Gift Trust and by Grant Gund and Rebecca H. Dent, as Trustees.
- (5) These securities are owned by the Kelsey Laidlaw Gund Gift Trust and by Grant Gund and Rebecca H. Dent, as Trustees.

### Remarks:

The Reporting Persons include Llura L. Gund, Grant Gund, G. Zachary Gund, Gordon Gund, Richard L. Watson, Rebecca H.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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