BIOTIME INC Form 8-K October 12, 2010

#### SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### FORM 8-K

#### CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): October 5, 2010

### BioTime, Inc. (Exact name of registrant as specified in its charter)

California (State or other jurisdiction of incorporation) 1-12830 (Commission File Number) 94-3127919 (IRS Employer Identification No.)

#### 1301 Harbor Bay Parkway Alameda, California 94502 (Address of principal executive offices)

(510) 521-3390

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions: 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3. Transaction Code (Instr. 8)4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)7. Nature of Indirect Beneficial Ownership (Instr. 4)CodeVAmount(A) or (D)Price Common Stock07/27/2005 M 2,333 A \$ 16.12 3,733 D Common Stock07/27/2005 S 2,333 D \$ 61.72 1,400 D Common Stock 364.52 (1) I By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: BIOTIME INC - Form 8-K

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 16.12	07/27/2005		М	2,333	06/26/2005	06/26/2013	Common Stock	2,333

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
CHAMBERLAIN RICHARD CARPENTER TECHNOLOGY CORPORATION 101 WEST BERN STREET READING, PA 19601			Vice President		
Signatures					
David A.					

Christiansen/POA 07/29/2005 \*\*Signature of Reporting Date

Person

**Explanation of Responses:** 

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Savings Plan of Carpenter Technology Corporation. The share balance under the Savings Plan of Carpenter Technology fluctuates due to rounding differences produced by the Plan's method of estimating shares.
- (2) The reporting person was granted an option to buy shares of common stock under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

k 4,20013,650 DOption to purchase \$ 25.48(3)10/17/2017 Common stock 4,20017,850 DOption topurchase \$ 19.53(4)11/16/2021 Common stock 4,20022,050 DOption to purchase \$ 24.82(5)11/28/2022 Common stock 4,20026,250 DOption to purchase \$ 29.64(6)12/18/2023 Common stock 4,2004,20030,450 D0

# **Reporting Owners**

**Reporting Owner Name / Address** 

Director 10% Owner Officer Other

MITCHEM STEVEN G 3990 E. WILSHIRE DRIVE SPRINGFIELD, MO 65809

## Signatures

Matt Snyder, Attorney-in-fact for Steven G. Mitchem

\*\*Signature of Reporting Person

10/16/2014 Date

## **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1,878 shares vest on 12/31/2005 and 748 shares vest on 9/20/2008 and 1,312 shares vest on 9/20/2009 and 9/20/2010
- (2) 1,050 shares vest on 10/18/2008, 10/18/2009, 10/18/2010 and 10/18/2011
- (3) 1,050 shares vest on 10/17/2009, 10/17/2010, 10/17/2011 and 10/17/2012
- (4) 1,050 shares vest on 11/16/2013, 11/16/2014, 11/16/2015 and 11/16/2016
- (5) 1,050 shares vest on 11/28/2014, 11/28/2015, 11/28/2016 and 11/28/2017
- (6) 1,050 shares vest on 12/18/2015, 12/18/2016, 12/18/2017 and 12/18/2018

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Relationships

Senior Vice Pres of Subsidiary