

DATA I/O CORP
Form 3
August 08, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|--|---|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Kanen David</p> <p>(Last) (First) (Middle)</p> <p>C/O KANEN WEALTH MANAGEMENT LLC,Â 10141 SWEET BAY CT.</p> <p>(Street)</p> <p>PARKLAND,Â FLÂ 33076</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>07/27/2016</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>DATA I/O CORP [DAIO]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|---|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 398,803 | D (1) (2) | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) |
|---------------------|--------------------|-------|----------------------------------|----------|--|
|---------------------|--------------------|-------|----------------------------------|----------|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Kanen David C/O KANEN WEALTH MANAGEMENT LLC 10141 SWEET BAY CT. PARKLAND, FL 33076 | Â | Â X | Â | Â |
| Kanen Wealth Management LLC 10141 SWEET BAY CT. PARKLAND, FL 33076 | Â | Â X | Â | Â |

Signatures

| | |
|--|------------|
| /s/ David L. Kanen | 08/08/2016 |
| __Signature of Reporting Person | Date |
| /s/ David L. Kanen, managing member of Kanen Wealth Management LLC | 08/08/2016 |
| __Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) David L. Kanen is the managing member of Kanen Wealth Management LLC ("KWM"). Mr. Kanen is the beneficial owner of 398,803 shares of Common Stock of the Issuer held for his own account. Mr. Kanen and KWM may be deemed the beneficial owner (within the meaning of Rule 13d-3 under the Securities Exchange Act of 1934, as amended) of an additional 1,532,601 shares of Common Stock of the Issuer held in accounts of customers of KWM over which KWM has discretionary voting and investment authority. Neither Mr. Kanen nor KWM have or share the opportunity, directly or indirectly, to profit or share in any profit derived from transactions in the shares of Common Stock held in customer accounts and they disclaim any pecuniary interest therein.
- (2) This report shall not be deemed to be an admission that either of Mr. Kanen or KWM are the beneficial owners of such shares of Common Stock held in customer accounts for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.