

ALIMERA SCIENCES INC

Form 4

September 15, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Sofinnova Management VIII, L.L.C.

2. Issuer Name **and** Ticker or Trading  
Symbol  
ALIMERA SCIENCES INC [ALIM]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3000 SAND HILL ROAD, 4-250

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)

09/11/2014

\_\_\_\_ Director \_\_\_\_X\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

\_\_\_\_ Form filed by One Reporting Person  
\_\_X\_\_ Form filed by More than One Reporting  
Person

MENLO PARK, CA 94025

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	09/11/2014		C		2,506,270	A	\$ 2.66	2,506,270	I	See FootNote <u>(1)</u>
Common Stock	09/12/2014		J <sup>(3)</sup>		2,000,000	D	\$ 0	506,270	I	See FootNote <u>(1)</u>
Common Stock	09/12/2014		J <sup>(4)</sup>		40,020	A	\$ 0	40,020	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form**

SEC 1474  
(9-02)

**displays a currently valid OMB control number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Series A Convertible Preferred Stock	\$ 2.66	09/11/2014		C		166,667		10/02/2012	<u>(2)</u>	Common Stock	2,506,2

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sofinnova Management VIII, L.L.C. 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025		X		
Sofinnova Venture Partners VIII, L.P. 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025		X		
AKKARAJU SRINIVAS 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025		X		
HEALY JAMES 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025		X		
POWELL MICHAEL 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025		X		
Mehra Anand 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025		X		

## Signatures

/s/ Nathalie Auber, attorney-in-fact for Sofinnova Venture Partners VIII,  
L.P.

09/15/2014

## Edgar Filing: ALIMERA SCIENCES INC - Form 4

\_\_Signature of Reporting Person

Date

/s/ Nathalie Auber, attorney-in-fact for Sofinnova Management VIII,  
L.L.C.

09/15/2014

\_\_Signature of Reporting Person

Date

/s/ Nathalie Auber, attorney-in-fact for Srinivas Akkaraju

09/15/2014

\_\_Signature of Reporting Person

Date

/s/ Nathalie Auber, attorney-in-fact for James I. Healy

09/15/2014

\_\_Signature of Reporting Person

Date

/s/ Nathalie Auber, attorney-in-fact for Anand Mehra

09/15/2014

\_\_Signature of Reporting Person

Date

/s/ Nathalie Auber, attorney-in-fact for Michael F. Powell

09/15/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The securities are owned directly by Sofinnova Venture Partners VIII, L.P. ("SVP VIII"). Sofinnova Management VIII, L.L.C. ("SM VIII"), the general partner of SVP VIII, Srinivas Akkaraju, James I. Healy, Anand Mehra, and Michael Powell, the managing members of SM VIII, may be deemed to have shared voting and dispositive power over the shares owned by SVP VIII. Such persons and entities disclaim beneficial ownership over the shares owned by SVP VIII except to the extent of any pecuniary interest therein.
- (2) The Series A Convertible Preferred Stock has no expiration date.
- (3) Distributed without additional consideration to partners in pro rata distributions pursuant to partnership agreement.
- (4) Received as in-kind distribution from Sofinnova Ventures VIII, L.P. distribution made without additional consideration to partners in a pro rata distribution made pursuant to partnership agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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