

Edgar Filing: SURMODICS INC - Form SC 13G/A

SURMODICS INC
Form SC 13G/A
March 12, 2008

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Schedule 13G

Under the Securities Exchange Act of 1934

(Amendment No. 9)

Surmodics, Inc.

(Name of Issuer)

Common Stock, \$.05 par value

(Title of Class of Securities)

867773 10 0

(CUSIP Number)

December 31, 2007

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
 Rule 13d-1(c)
 Rule 13d-1(d)

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CUSIP NO. 868873 10 0

1 NAME OF REPORTING PERSON
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSON (ENTITIES ONLY).

David A. Koch

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
(a)
(b)

3 SEC USE ONLY

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4 CITIZENSHIP OR PLACE OF ORGANIZATION

USA

5 SOLE VOTING POWER

839,583 (includes 24,000 shares which may be purchased upon the exercise of options)

NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON

6 SHARED VOTING POWER

125,000

7 SOLE DISPOSITIVE POWER

839,583 (includes 24,000 shares which may be purchased upon the exercise of options)

WITH:

8 SHARED DISPOSITIVE POWER

125,000

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

964,583 (includes 24,000 shares which may be purchased upon the exercise of options)

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

5.28%

12 TYPE OF REPORTING PERSON

IN

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Item 1(a). Name of issuer: Surmodics, Inc.

Item 1(b). Address of issuer's principal executive offices:

9924 West 74th Street
Eden Prairie, MN 55344-3523

Item 2(a). Names of person filing: David A. Koch

Item 2(b). Address of principal business office:

505 N Highway 169
Suite 595
Plymouth, MN 55441

Item 2(c). Citizenship: USA

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- Item 2(d). Title of class of securities: Common Stock, \$.05 par value
- Item 2(e). CUSIP No.: 868873 10 0
- Item 3. If this statement is filed pursuant to Secs. 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:
- Not applicable
- Item 4. Ownership
- (a) Amount beneficially owned: 964,583 (includes 24,000 shares which may be purchased upon the exercise of options)
 - (b) Percent of class: 5.28%
 - (c) Number of shares as to which the person has:
 - (i) Sole power to vote or to direct the vote: 839,583 (includes 24,000 shares which may be purchased upon exercise of options)
 - (ii) Shared power to vote or to direct the vote: 125,000
 - (iii) Sole power to dispose or to direct the disposition of: 839,583 (includes 24,000 shares which may be purchased upon exercise of options)
 - (iv) Shared power to dispose or to direct the disposition of: 125,000
- Item 5. Ownership of 5 percent or Less of a Class: Not applicable.
- Item 6. Ownership of More than 5 Percent on Behalf of Another Person:
- Not applicable.
- Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person: Not applicable.
- Item 8. Identification and Classification of Members of the Group:
- Not applicable.
- Item 9. Notice of Dissolution of Group: Not applicable.
- Item 10. Certifications Not applicable.

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Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: March 12, 2008

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/s/ David A. Koch

Signature

David A. Koch

Name/Title