### Edgar Filing: GOODRICH PETROLEUM CORP - Form 4

#### GOODRICH PETROLEUM CORP

Form 4

October 14, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average

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**OMB APPROVAL** 

Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TURNHAM ROBERT C JR

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

GOODRICH PETROLEUM CORP [NONE]

(Check all applicable)

President & COO

(Middle)

3. Date of Earliest Transaction

Symbol

\_X\_\_ Director 10% Owner X\_ Officer (give title

(Month/Day/Year) 10/12/2016

below)

Other (specify

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

C/O GOODRICH PETROLEUM CORPORATION, 801 LOUISIANA,

(Street)

(First)

**SUITE 700** 

1.Title of

Stock (2)

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

6. Individual or Joint/Group Filing(Check

Applicable Line)

5. Amount of

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON, TX 77002

(City) (State) (Zip)

2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. Securities Acquired

Transaction(A) or Disposed of (D) Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Securities (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V (D) Price Amount Common 10/12/2016 \$0 D Α 170,686 170,686 Stock (1) Common 10/12/2016 102,412 A \$0 D A 273,098

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	. 8) Derivative Securities			Securit	ties	(Instr. 5)	Bene
	Derivative							(Instr.	3 and 4)		Own
	Security Acquired (A) or										Follo
											Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date Ex	Expiration	or Title Number			
						Exercisable	Date				
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	· · · · · · · · · · · · · · · · · · ·						
	Director	10% Owner	Officer	Other			

TURNHAM ROBERT C JR C/O GOODRICH PETROLEUM CORPORATION 801 LOUISIANA, SUITE 700 HOUSTON, TX 77002

President & COO

Relationships

## **Signatures**

Robert C. 10/14/2016 Turnham, Jr.

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Common stock awarded pursuant to the Goodrich Management Incentive Plan as outlined in the First Amended Joint Plan of Reorganization of Goodrich Petroleum Corporation, and Affiliated Debtor (the "Plan").

These restricted stock units were granted pursuant to the Goodrich Management Incentive Plan as outlined in the Plan. The restricted stock units are scheduled to vest in one-third increments on each of anniversary dates for the next three years. One-third of the shares will be delivered to the reporting person within 30 days of each vesting date, as long as the reporting person remains affiliated with the company on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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