

VOCERA COMMUNICATIONS, INC.

Form 4

April 03, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**DUFFY MARY BRIDGET**

(Last) (First) (Middle)

**C/O VOCERA COMMUNICATIONS, INC., 525 RACE STREET**

(Street)

**SAN JOSE, CA 95126**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**VOCERA COMMUNICATIONS, INC. [VCRA]**

3. Date of Earliest Transaction (Month/Day/Year)  
**04/01/2014**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**CHIEF MEDICAL OFFICER**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |  |
|                                 |                                      |  |                                | Code  | V   | Amount   |  |
| Common Stock                    | 04/01/2014                           |  | M <sup>(1)</sup>               | A   | 6,500   | \$ 2.16  | 23,975 D                                   |
| Common Stock                    | 04/01/2014                           |  | S <sup>(1)</sup>               | D   | 6,500 <sup>(2)</sup>  | \$ 16.3943 <sup>(3)</sup>                                | 17,475 D                                   |
| Common Stock                    |                                      |  |                                |   |   |  | 283 I                                      |

By DS Consulting Associates LLC

Edgar Filing: VOCERA COMMUNICATIONS, INC. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy)                | \$ 2.16  | 04/01/2014                           |  | M <sup>(1)</sup>               | 6,500   | <sup>(4)</sup> 12/22/2020                                | Common Stock  | 6,500                         |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                       |       |
|--|---------------|-----------|-----------------------|-------|
|  | Director      | 10% Owner | Officer               | Other |
| DUFFY MARY BRIDGET<br>C/O VOCERA COMMUNICATIONS, INC.<br>525 RACE STREET<br>SAN JOSE, CA 95126 |               |           | CHIEF MEDICAL OFFICER |       |

## Signatures

/s/ M. Bridget Duffy by Jay Spitzten,  
Attorney-in-Fact

04/03/2014

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.
- (2) Represents the aggregate of sales effected on the same day at different prices.
- (3) Represents the weighted average sales price per share. The shares sold at prices ranging from \$16.0925 to \$16.51 per share. Full information regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and

## Edgar Filing: VOCERA COMMUNICATIONS, INC. - Form 4

Exchange Commission, the Issuer, or a security holder of the Issuer.

- (4) The stock option grant vested as to 1/4th of the total shares on November 3, 2011, and thereafter vested and shall continue to vest as to 1/48th of the total number of shares in equal monthly installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.