

SIMPSON MICHAEL
Form 4
December 04, 2009

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SIMPSON MICHAEL

2. Issuer Name and Ticker or Trading Symbol
CASTLE A M & CO [CAS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3400 NORTH WOLF ROAD
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/02/2009

Director 10% Owner
 Officer (give title below) Other (specify below)

FRANKLIN PARK, IL 60131
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|---------------------|---|---|------------|---|--|---|--------------|
| | | | Code | V | Amount | (A) or (D) | | | | Price |
| Common Stock | 12/02/2009 | | G | V | 2,300 | D | \$ 0 | 90,629 | D | |
| Common Stock | | | | | | | | 10,546 | I | See Note (1) |
| Common Stock | | | | | | | | 22,781 | I | See Note (2) |
| Common Stock | | | | | | | | 262,433 | I | See Note (3) |
| Common Stock | | | | | | | | 20,992 | I | See Note (4) |
| | | | | | | | | 157,872 | I | |

| | | | | | | | |
|--------------|------------|---|--|--|--|--|-------------------------|
| Common Stock | | | | | | | See Note (5) |
| Common Stock | 1,372.3259 | I | | | | | Through 401(k) Plan (6) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| SIMPSON MICHAEL 3400 NORTH WOLF ROAD FRANKLIN PARK, IL 60131 | | X | | |

Signatures

Robert J. Perna,
Attorney-in-Fact
Date: 12/04/2009

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Beneficiary under Trust dated 12/07/1949 United States Trust Co., Trustee

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- (2) Beneficiary under Trust dated 7/24/1968 United States Trust Co., Trustee
- (3) Beneficiary under Trust dated 7/26/1972 United States Trust Co. and Patrick J. Herbert, III, Trustee
- (4) Beneficiary under Trust dated 12/23/1976 Northern Trust Co., Trustee
- (5) Beneficiary under Trust dated 12/19/1980 Patrick J. Herbert, III, Trustee
- (6) Between March 31, 2008 and November 30, 2009 the reporting person acquired 25.0402 shares of A. M. Castle & Co. common stock under the A. M. Castle & Co. 401(k) plan. The information in this report is based on a plan statement dated as of November 30, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.