

RANKIN ROGER F  
Form 4  
March 21, 2019

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN ROGER F

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
03/20/2019

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                               |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common Stock            | 03/20/2019                           |  | P                              | 42 <sup>(1)</sup> A   | \$ 64.99 11,155   | I  | spouse serves as Trustee of a Trust for the benefit of Alison Rankin <sup>(2)</sup> |
| Class A Common Stock            | 03/20/2019                           |  | P                              | 1 <sup>(1)</sup> A  | \$ 64.99 73   | I  | Interest in shares of Rankin Associates V held by Rankin                            |

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|                            |            |   |               |   |             |        | Management |   |
|----------------------------|------------|---|---------------|---|-------------|--------|------------|---|
| Class A<br>Common<br>Stock | 03/20/2019 | P | 1 <u>(1)</u>  | A | \$<br>64.99 | 624    | I          | Interest in<br>shares held by<br>Rankin<br>Associates V   |
| Class A<br>Common<br>Stock | 03/20/2019 | P | 31 <u>(1)</u> | A | \$<br>64.99 | 40,213 | I          | Serves as<br>Trustee of<br>GSTs for the<br>benefit of A.<br>Farnham<br>Rankin                       |
| Class A<br>Common<br>Stock | 03/20/2019 | P | 31 <u>(1)</u> | A | \$<br>64.99 | 40,213 | I          | Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>Elisabeth M.<br>Rankin                     |
| Class A<br>Common<br>Stock | 03/20/2019 | P | 56 <u>(1)</u> | A | \$<br>64.99 | 40,269 | I          | Serves as<br>Trustee of<br>GSTs for the<br>benefit of A.<br>Farnham<br>Rankin                       |
| Class A<br>Common<br>Stock | 03/20/2019 | P | 56 <u>(1)</u> | A | \$<br>64.99 | 40,269 | I          | Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>Elisabeth M.<br>Rankin                     |
| Class A<br>Common<br>Stock | 03/20/2019 | P | 1 <u>(1)</u>  | A | \$<br>64.99 | 587    | I          | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(2)</u> |
| Class A<br>Common<br>Stock | 03/20/2019 | P | 1 <u>(1)</u>  | A | \$<br>64.99 | 494    | I          | Child's<br>Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(3)</u>  |
| Class A<br>Common<br>Stock | 03/20/2019 | P | 1 <u>(1)</u>  | A | \$<br>64.99 | 494    | I          | Child's<br>Proportionate<br>interest of<br>shares held by   |

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|                            |        |   |  |
|----------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 54,147 | I | Rankin<br>Associates VI<br><u>(4)</u><br>spouses<br>proportionate<br>LP interest in<br>shares held by<br>RA1 <u>(2)</u>            |
| Class A<br>Common<br>Stock | 29,986 | I | spouse's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates II,<br>L.P <u>(2)</u> |
| Class A<br>Common<br>Stock | 200    | I | Reporting<br>person serves<br>as Trustee for<br>the benefit of<br>Elisabeth<br>Rankin  |
| Class A<br>Common<br>Stock | 765    | I | Reporting<br>person serves<br>as co-trustee<br>of trust fbo<br>Elisabeth M.<br>Rankin  |
| Class A<br>Common<br>Stock | 20,426 | I | daughter's<br>proportionate<br>LP interest in<br>shares held by<br>RA II LP held<br>in a trust <u>(2)</u>                          |
| Class A<br>Common<br>Stock | 1,851  | I | Spouse is<br>Trustee for the<br>benefit of<br>Reporting<br>Person's<br>daughter. <u>(2)</u>  |
| Class A<br>Common<br>Stock | 97     | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V  |

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|                            |        |   | <u>(2)</u>   |
|----------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 1,209  | I | Reporting<br>Person Serves<br>as Trustee for<br>the benefit of<br>A. Farnham<br>Rankin                     |
| Class A<br>Common<br>Stock | 18,294 | I | daughter's<br>proportionate<br>LP interest in<br>shares held by<br>RA II LP held<br>in a trust <u>(2)</u>  |
| Class A<br>Common<br>Stock | 97     | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V<br><u>(2)</u>          |
| Class A<br>Common<br>Stock | 3,738  | I | Spouse is<br>Co-Trustee<br>for the benefit<br>of Reporting<br>Person's<br>daughter. <u>(2)</u>             |
| Class A<br>Common<br>Stock | 19     | I | proportionate<br>general<br>partnership<br>interest in<br>shares of<br>Rankin<br>Associates IV,            |
| Class A<br>Common<br>Stock | 3,448  | I | proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates I,<br>L.P |
| Class A<br>Common<br>Stock | 4,283  | I | proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>Rankin                        |

|                      |         |   |  |
|----------------------|---------|---|--|
| Class A Common Stock | 65,824  | I | Associates II, L.P<br>proportionate limited partnership interest in shares held by Rankin Associates IV, L.P |
| Class A Common Stock | 270     | I | Proportionate interest in shares held by Rankin Associates VI  |
| Class A Common Stock | 92      | I | Interest in shares of Rankin Associates VI held by Rankin Management   |
| Class A Common Stock | 1,975   | I | proportionate interest in shares held by Rankin Management, Inc. ("RMI).                                     |
| Class A Common Stock | 192,662 | I | Reporting Person serves as Trustee of a Trust for the benefit of Roger F. Rankin                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|--------------|--|---|---------------------------------|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|--------------|--|---|---------------------------------|

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Instr. 5) | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title                | Amount or Number of Shares |
|------------|------------------------------|------------------|------------|--|------------|------|---|-----|-----|------------------|-----------------|----------------------|----------------------------|
|            |                              |                  |            |  |            |      |   |     |     | (5)              | (5)             | Class A Common Stock | 444                        |
|            |                              |                  |            |  |            |      |   |     |     | (5)              | (5)             | Class A Common Stock | 96,861                     |
|            |                              |                  |            |  |            |      |   |     |     | (5)              | (5)             | Class A Common Stock | 29,986                     |
|            |                              |                  |            |  |            |      |   |     |     | (5)              | (5)             | Class A Common Stock | 3,123                      |
|            |                              |                  |            |  |            |      |   |     |     | (5)              | (5)             | Class A Common Stock | 200                        |
|            |                              |                  |            |  |            |      |   |     |     | (5)              | (5)             | Class A Common Stock | 20,426                     |

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|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 1,851  |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 18,294 |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 3,738  |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 31     |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 6,168  |
| Class B<br>Common          | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common          | 4,283  |

Stock

Stock

|                            |     |     |     |                            |         |
|----------------------------|-----|-----|-----|----------------------------|---------|
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 104,286 |
|----------------------------|-----|-----|-----|----------------------------|---------|

|                            |     |     |     |                            |       |
|----------------------------|-----|-----|-----|----------------------------|-------|
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 1,975 |
|----------------------------|-----|-----|-----|----------------------------|-------|

|                            |     |     |     |                            |         |
|----------------------------|-----|-----|-----|----------------------------|---------|
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 193,760 |
|----------------------------|-----|-----|-----|----------------------------|---------|

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |                   |
|--|---------------|-----------|---------|-------------------|
|  | Director      | 10% Owner | Officer | Other             |
| RANKIN ROGER F<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 |               |           |         | Member of a Group |

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

03/21/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
  - (2) Reporting Person disclaims beneficial ownership of all such shares.



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- (3) Interest held by Trust u/a/d 8/15/2012
- (4) Held in trust u/a/d 12/30/2015
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.