#### Edgar Filing: Horn J Scott - Form 4

Horn J Scott Form 4 February 13, 2019 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).								OMB Number: Expires: Estimated a burden hour response		
(Print or Type I	Responses)									
1. Name and Address of Reporting Person <u>*</u> Horn J Scott			2. Issuer Name <b>and</b> Ticker or Trading Symbol POLYONE CORP [POL]				ng	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Chec	k all applicable	)	
				(Month/Day/Year) 02/10/2019				Director 10% Owner X_ Officer (give title Other (specify below) SVP, President Distribution		
				endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
AVON LAKE, OH 44012								Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	<ul> <li>Execution</li> <li>any</li> </ul>	med on Date, if Day/Year)	3. Transactic Code (Instr. 8) Code V	(Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	02/10/2019(1)			М	1,090	А	<u>(2)</u>	11,420 <u>(3)</u>	D	
Common Stock	02/11/2019			F	394	D	\$ 31.49	11,026	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	A Title N o
Restricted Stock Units	(2)	02/10/2019(1)		М		1,090	02/10/2019	02/10/2019	Common Stock
Restricted Stock Units	<u>(2)</u>	02/11/2019		А	3,700		02/11/2022	02/11/2022	Common Stock
Stock Appreciation Rights	\$ 31.54	02/11/2019		A	11,500		<u>(4)</u>	02/11/2029	Common Stock

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting officer (unit) (read of	Director	10% Owner	Officer	Other			
Horn J Scott POLYONE CENTER 33587 WALKER ROAD AVON LAKE, OH 44012			SVP, President Distribution				
Signatures							
/s/ Lisa K. Kunkle, Power of A	02/13/2019						

Horn

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As the vesting date fell on a weekend, the vesting transaction was executed on the following business day, February 11, 2019.
- (2) Each restricted stock unit represents a contingent right to receive one share of PolyOne common stock.
- (3) Includes dividend equivalents earned with respect to the vested restricted stock units.

SARs become exercisable and vest only upon the achievement of both price and time requirements. To vest, each one-third of the grant must attain 10%, 15% and 20% stock appreciation, respectively (which must be maintained for a minimum of thirty consecutive trading

(4) Individual 10 %, 15 % and 20 % stock appreciation, respectively (which must be maintained for a minimum of unity consecutive fracing days) from the grant date closing price of \$31.54 per share and no more than one-third of the grant can vest per year during the first three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.