

RANKIN CLAIBORNE R  
Form 4  
January 04, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN CLAIBORNE R

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
01/02/2019

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| Class A Common Stock            | 01/02/2019                           |  | P                              | 4 <sup>(1)</sup> A  | \$ 62.49 545  | I  | Spouse's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock            | 01/03/2019                           |  | P                              | 4 <sup>(1)</sup> A  | \$ 61.74 <sup>(2)</sup> 595   | I  | Interest in Shares held by Rankin Associates V                         |
| Class A Common                  | 01/03/2019                           |  | P                              | 74 <sup>(1)</sup> A   | \$ 61.74 26,662   | I  | Serves as Trustee of   |

Edgar Filing: RANKIN CLAIBORNE R - Form 4

| Stock                |            |   |                |   | <u>(2)</u>          |        |  |   |   |
|----------------------|------------|---|----------------|---|---------------------|--------|--|---|---|
|                      |            |   |                |   |                     |        |  |   | GSTs for the benefit of Chloe R. Seelbach                         |
| Class A Common Stock | 01/03/2019 | P | 74 <u>(1)</u>  | A | \$ 61.74 <u>(2)</u> | 26,662 |  | I | Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr. |
| Class A Common Stock | 01/03/2019 | P | 74 <u>(1)</u>  | A | \$ 61.74 <u>(2)</u> | 26,662 |  | I | Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers |
| Class A Common Stock | 01/03/2019 | P | 2 <u>(1)</u>   | A | \$ 62.49            | 26,664 |  | I | Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach    |
| Class A Common Stock | 01/03/2019 | P | 2 <u>(1)</u>   | A | \$ 62.49            | 26,664 |  | I | Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr. |
| Class A Common Stock | 01/03/2019 | P | 2 <u>(1)</u>   | A | \$ 62.49            | 26,664 |  | I | Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers |
| Class A Common Stock | 01/03/2019 | P | 129 <u>(1)</u> | A | \$ 61.74 <u>(2)</u> | 26,793 |  | I | Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach    |
| Class A Common Stock | 01/03/2019 | P | 129 <u>(1)</u> | A | \$ 61.74 <u>(2)</u> | 26,793 |  | I | Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr. |
|                      | 01/03/2019 | P | 129 <u>(1)</u> | A |                     | 26,793 |  | I |   |

Edgar Filing: RANKIN CLAIBORNE R - Form 4

|                            |            |   |              |   |                           |        |   |  |
|----------------------------|------------|---|--------------|---|---------------------------|--------|---|--|
| Class A<br>Common<br>Stock |            |   |              |   | \$<br>61.74<br><u>(2)</u> |        |   | Serves as<br>Trustee of the<br>GSTs for the<br>benefit of<br>Julia R.<br>Kuipers                     |
| Class A<br>Common<br>Stock | 01/03/2019 | P | 3 <u>(1)</u> | A | \$<br>61.74<br><u>(2)</u> | 254    | I | Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                            |
| Class A<br>Common<br>Stock | 01/03/2019 | P | 6 <u>(1)</u> | A | \$<br>61.74<br><u>(2)</u> | 551    | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                |
| Class A<br>Common<br>Stock | 01/03/2019 | P | 4 <u>(1)</u> | A | \$<br>62.49               | 26,797 | I | Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>Chloe R.<br>Seelbach                        |
| Class A<br>Common<br>Stock | 01/03/2019 | P | 4 <u>(1)</u> | A | \$<br>62.49               | 26,797 | I | Serves as<br>Trustee of<br>GSTs for the<br>benefit of<br>Claiborne<br>Rankin Jr.                     |
| Class A<br>Common<br>Stock | 01/03/2019 | P | 4 <u>(1)</u> | A | \$<br>62.49               | 26,797 | I | Serves as<br>Trustee of the<br>GSTs for the<br>benefit of<br>Julia R.<br>Kuipers                     |
| Class A<br>Common<br>Stock |            |   |              |   |                           | 35,857 | I | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates I.<br><u>(3)</u> |
| Class A<br>Common<br>Stock |            |   |              |   |                           | 2,116  | I | spouse's<br>proportionate<br>limited<br>partnership<br>interest in                                   |

|                            |        |   |  |
|----------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 2,283  | I | shares held by Rankin Associates II LP <sup>(3)</sup><br>Held in trust fbo Reporting Person's spouse. <sup>(3)</sup> |
| Class A<br>Common<br>Stock | 19     | I | Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person as general partner                 |
| Class A<br>Common<br>Stock | 0      | D |  |
| Class A<br>Common<br>Stock | 10,631 | I | Childs trusts proportionate interests in shares held by Rankin Assoc II. Father is co-trustee <sup>(3)</sup>         |
| Class A<br>Common<br>Stock | 35,628 | I | proportionate limited partnership interests in shares held by Rankin Associates II, L.P                              |
| Class A<br>Common<br>Stock | 65,824 | I | proportionate limited partnership interest in shares held by Rankin Associates IV, L.P                               |
| Class A<br>Common<br>Stock | 70     | I | interest in shares held by RAV held by Rankin  |

Edgar Filing: RANKIN CLAIBORNE R - Form 4

|                            |         |   |   |
|----------------------------|---------|---|---|
| Class A<br>Common<br>Stock | 86      | I | Management,<br>Inc. ("RMI")<br><br>interest in<br>shares held by<br>RAVI held by<br>Rankin<br>Management,<br>Inc. ("RMI") |
| Class A<br>Common<br>Stock | 1,975   | I | proportionate<br>interest in<br>shares held by<br>Rankin<br>Management,<br>Inc. ("RMI")                                   |
| Class A<br>Common<br>Stock | 132,529 | I | Reporting<br>Person serves<br>as Trustee of a<br>Trust for the<br>benefit of<br>Claiborne R.<br>Rankin                    |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>of<br>Derivative<br>Security<br>(Instr. 5) |                                  |
|---|--|---|---|--------------------------------------|--|--|---|--|----------------------------------|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable  | Expiration<br>Date  | Title  | Amount or<br>Number of<br>Shares |
| Class B<br>Common<br>Stock                          | (4)  |   |   |                                      |  | (4)  | (4)   | Class A<br>Common<br>Stock                             | 64,143                           |

Edgar Filing: RANKIN CLAIBORNE R - Form 4

|                            |     |     |     |                            |         |
|----------------------------|-----|-----|-----|----------------------------|---------|
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 2,116   |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 2,783   |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 31      |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 10,631  |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 35,628  |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 104,286 |
| Class B<br>Common<br>Stock | (4) | (4) | (4) | Class A<br>Common<br>Stock | 1,975   |



## Edgar Filing: RANKIN CLAIBORNE R - Form 4

- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) N/A
- (5) proportionate limited partnership interest in shares of Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.