Rankin Julia L Form 4 December 17, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Rankin Julia L

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(First) 5875 LANDERBROOK DRIVE 3. Date of Earliest Transaction

(Month/Day/Year) 12/13/2018

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

| (City)                               | (State)                              | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                                       |                              |                    |  |  |   |  |
|--------------------------------------|--------------------------------------|--|--|---------------------------------------|------------------------------|--------------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                                 |  |
| Class A<br>Common<br>Stock           | 12/13/2018                           |  | P                                      | 2 (1)                                 | A                            | \$<br>64.07<br>(2) | 398  | I  | Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI             |  |
| Class A<br>Common<br>Stock           | 12/13/2018                           |  | Р                                      | 2 (1)                                 | A                            | \$ 64.07<br>(2)    | 472  | I  | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |  |

| Class A<br>Common<br>Stock | 12/13/2018 | P | 3 (1) | A | \$<br>64.07<br>(2) | 472 | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI              |
|----------------------------|------------|---|-------|---|--------------------|-----|---|---|
| Class A<br>Common<br>Stock | 12/13/2018 | Р | 3 (1) | A | \$<br>64.07<br>(2) | 472 | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI              |
| Class A<br>Common<br>Stock | 12/14/2018 | P | 2 (1) | A | \$<br>63.24<br>(4) | 400 | I | Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                         |
| Class A<br>Common<br>Stock | 12/14/2018 | P | 2 (1) | A | \$ 63.24 (4)       | 474 | I | Spouse's proportionate interest in shares held by Rankin Associates VI                            |
| Class A<br>Common<br>Stock | 12/14/2018 | P | 2 (1) | A | \$<br>63.24<br>(4) | 474 | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI              |
| Class A<br>Common<br>Stock | 12/14/2018 | P | 2 (1) | A | \$<br>63.24<br>(4) | 474 | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI              |
| Class A<br>Common<br>Stock |            |   |       |   |                    | 712 | I | Child's proportionate limited partnership interest in shares held by Rankin Associates II, LP (3) |
| Class A<br>Common          |            |   |       |   |                    | 240 | I | By Spouse (3)   |

#### Edgar Filing: Rankin Julia L - Form 4

Stock

| Class A Common Stock | 13,997 | I | proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P |
|----------------------|--------|---|--|
| Class A Common Stock | 83     | I | proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V                                     |
| Class A Common Stock | 10,242 | I | Held by Trust<br>for the benefit<br>of Reporting<br>Person   |
| Class A Common Stock | 567    | I | Child's proportionate limited partnership interest in shares held by Rankin Associates II, LP                |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) |                  | 4.<br>Transactio | 5.<br>orNumber | 6. Date Exerc<br>Expiration D |                 | 7. Title and A Underlying S |           | 8. Price of Derivative |
|------------------------|---------------|--------------------------------------|------------------|------------------|----------------|-------------------------------|-----------------|-----------------------------|-----------|------------------------|
| Security               | or Exercise   | , ,                                  | any              | Code             | of             | (Month/Day/                   |                 | (Instr. 3 and               |           | Security               |
| (Instr. 3)             | Price of      |                                      | (Month/Day/Year) | (Instr. 8)       | Derivative     | e                             |                 |                             |           | (Instr. 5)             |
|                        | Derivative    |                                      |                  |                  | Securities     |                               |                 |                             |           |                        |
|                        | Security      |                                      |                  |                  | Acquired       |                               |                 |                             |           |                        |
|                        |               |                                      |                  |                  | (A) or         |                               |                 |                             |           |                        |
|                        |               |                                      |                  |                  | Disposed       |                               |                 |                             |           |                        |
|                        |               |                                      |                  |                  | of (D)         |                               |                 |                             |           |                        |
|                        |               |                                      |                  |                  | (Instr. 3,     |                               |                 |                             |           |                        |
|                        |               |                                      |                  |                  | 4, and 5)      |                               |                 |                             |           |                        |
|                        |               |                                      |                  | Code V           | (A) (D)        | Date<br>Exercisable           | Expiration Date | Title                       | Amount or |                        |

(9-02)

Number of Shares

| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | (5)        | Class A<br>Common<br>Stock | 712    |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | (5)        | Class A<br>Common<br>Stock | 240    |
| Class B<br>Common<br>Stock | <u>(5)</u> | (5)        | <u>(5)</u> | Class A<br>Common<br>Stock | 13,997 |
| Class B<br>Common<br>Stock | <u>(5)</u> | (5)        | (5)        | Class A<br>Common<br>Stock | 10,072 |
| Class B<br>Common<br>Stock | <u>(5)</u> | (5)        | (5)        | Class A<br>Common<br>Stock | 567    |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Julia L 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Reporting Owners

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

12/17/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-13-Weighted Average Share Price represents average price between \$63.61 and \$64.54.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) 2018-Dec-14-Weighted Average Share Price represents average price between \$62.77 and \$63.77.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 5