

RANKIN CLAIBORNE R  
Form 4  
December 17, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN CLAIBORNE R

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/13/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4)                                |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |  |
| Class A Common Stock            | 12/13/2018                           |  | P                              |   | 2 <sup>(1)</sup> A \$ 64.07 <sub>(2)</sub>  | 534  | I Interest in Shares held by Rankin Associates V                 |
| Class A Common Stock            | 12/13/2018                           |  | P                              |   | 32 <sup>(1)</sup> A \$ 64.07 <sub>(2)</sub>   | 23,885   | I Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach |
| Class A Common                  | 12/13/2018                           |  | P                              |   | 32 <sup>(1)</sup> A \$ 64.07  | 23,885   | I Serves as Trustee of   |

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| Stock                |            |   |               |   | <u>(2)</u>          |        |   |  |  |
|----------------------|------------|---|---------------|---|---------------------|--------|---|--|--|
|                      |            |   |               |   |                     |        |   |  | GSTs for the benefit of Claiborne Rankin Jr.                           |
| Class A Common Stock | 12/13/2018 | P | 32 <u>(1)</u> | A | \$ 64.07 <u>(2)</u> | 23,885 | I |  | Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers      |
| Class A Common Stock | 12/13/2018 | P | 55 <u>(1)</u> | A | \$ 64.07 <u>(2)</u> | 23,940 | I |  | Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach         |
| Class A Common Stock | 12/13/2018 | P | 55 <u>(1)</u> | A | \$ 64.07 <u>(2)</u> | 23,940 | I |  | Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.      |
| Class A Common Stock | 12/13/2018 | P | 55 <u>(1)</u> | A | \$ 64.07 <u>(2)</u> | 23,940 | I |  | Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers      |
| Class A Common Stock | 12/13/2018 | P | 1 <u>(1)</u>  | A | \$ 64.07 <u>(2)</u> | 218    | I |  | Proportionate interest in shares held by Rankin Associates VI          |
| Class A Common Stock | 12/13/2018 | P | 2 <u>(1)</u>  | A | \$ 64.07 <u>(2)</u> | 473    | I |  | Spouse's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 12/14/2018 | P | 5 <u>(1)</u>  | A | \$ 62.7             | 23,945 | I |  | Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach         |
| Class A Common       | 12/14/2018 | P | 5 <u>(1)</u>  | A | \$ 62.7             | 23,945 | I |  | Serves as Trustee of   |

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| Stock                |            |   |                   |   |                         |        |   | GSTs for the benefit of Claiborne Rankin Jr.                      |
|----------------------|------------|---|-------------------|---|-------------------------|--------|---|---|
| Class A Common Stock | 12/14/2018 | P | 5 <sup>(1)</sup>  | A | \$ 62.7                 | 23,945 | I | Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers |
| Class A Common Stock | 12/14/2018 | P | 2 <sup>(1)</sup>  | A | \$ 63.24 <sup>(3)</sup> | 536    | I | Interest in Shares held by Rankin Associates V                    |
| Class A Common Stock | 12/14/2018 | P | 27 <sup>(1)</sup> | A | \$ 63.24 <sup>(3)</sup> | 23,972 | I | Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach    |
| Class A Common Stock | 12/14/2018 | P | 27 <sup>(1)</sup> | A | \$ 63.24 <sup>(3)</sup> | 23,972 | I | Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr. |
| Class A Common Stock | 12/14/2018 | P | 27 <sup>(1)</sup> | A | \$ 63.24 <sup>(3)</sup> | 23,972 | I | Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers |
| Class A Common Stock | 12/14/2018 | P | 9 <sup>(1)</sup>  | A | \$ 62.7                 | 23,981 | I | Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach    |
| Class A Common Stock | 12/14/2018 | P | 9 <sup>(1)</sup>  | A | \$ 62.7                 | 23,981 | I | Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr. |
| Class A Common Stock | 12/14/2018 | P | 9 <sup>(1)</sup>  | A | \$ 62.7                 | 23,981 | I | Serves as Trustee of the GSTs for the                             |

|                            |        |   |   |
|----------------------------|--------|---|---|
| Class A<br>Common<br>Stock | 35,857 | I | benefit of<br>Julia R.<br>Kuipers<br><br>Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates I.<br><u>(4)</u> |
| Class A<br>Common<br>Stock | 2,116  | I | spouse's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates II<br>LP <u>(4)</u>              |
| Class A<br>Common<br>Stock | 2,283  | I | Held in trust<br>fbo Reporting<br>Person's<br>spouse. <u>(4)</u>  |
| Class A<br>Common<br>Stock | 19     | I | Rankin<br>Associates,<br>IV, L.P. held<br>by the Trust<br>for the benefit<br>of Reporting<br>Person as<br>general<br>partner                  |
| Class A<br>Common<br>Stock | 14,567 | I | proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates I,<br>L.P                                    |
| Class A<br>Common<br>Stock | 35,628 | I | proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>Rankin<br>Associates II,   |

|                            |         |   |  |
|----------------------------|---------|---|--|
| Class A<br>Common<br>Stock | 65,824  | I | L.P<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates IV,<br>L.P |
| Class A<br>Common<br>Stock | 63      | I | interest in<br>shares held by<br>RAV held by<br>Rankin<br>Management,<br>Inc. ("RMI")                              |
| Class A<br>Common<br>Stock | 75      | I | interest in<br>shares held by<br>RAVI held by<br>Rankin<br>Management,<br>Inc. ("RMI")                             |
| Class A<br>Common<br>Stock | 1,975   | I | proportionate<br>interest in<br>shares held by<br>Rankin<br>Management,<br>Inc. ("RMI")                            |
| Class A<br>Common<br>Stock | 132,073 | I | Reporting<br>Person serves<br>as Trustee of a<br>Trust for the<br>benefit of<br>Claiborne R.<br>Rankin             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>Derivati<br>Security<br>(Instr. 5) |
|---|--|---|---|--------------------------------------|-------------------------------|--|---|--|
|---|--|---|---|--------------------------------------|-------------------------------|--|---|--|

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| Derivative Security  |     | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |           | Date Exercisable | Expiration Date | Title                | Amount or Number of Shares |
|----------------------|-----|---|-----------|------------------|-----------------|----------------------|----------------------------|
|                      |     | Code  | V (A) (D) |                  |                 |                      |                            |
| Class B Common Stock | (5) |   |           | (5)              | (5)             | Class A Common Stock | 64,143                     |
| Class B Common Stock | (5) |   |           | (5)              | (5)             | Class A Common Stock | 2,116                      |
| Class B Common Stock | (5) |   |           | (5)              | (5)             | Class A Common Stock | 2,783                      |
| Class B Common Stock | (5) |   |           | (5)              | (5)             | Class A Common Stock | 31                         |
| Class B Common Stock | (5) |   |           | (5)              | (5)             | Class A Common Stock | 26,057                     |
| Class B Common Stock | (5) |   |           | (5)              | (5)             | Class A Common Stock | 35,628                     |

|                            |     |     |     |                            |         |
|----------------------------|-----|-----|-----|----------------------------|---------|
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 104,286 |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 1,975   |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 123,760 |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 6,889   |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 6,889   |
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 6,889   |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

RANKIN CLAIBORNE R  
5875 LANDERBROOK DRIVE  
MAYFIELD HEIGHTS, OH 44124

X

Member of a Group

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

12/17/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-13-Weighted Average - Share Price represents average price between \$63.61 and \$64.54.
- (3) 2018-Dec-14-Weighted Average - Share Price represents average price between \$62.77 and \$63.77.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A
- (6) proportionate limited partnership interest in shares of Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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