Edgar Filing: Rankin Claiborne Read III - Form 4

Rankin Claiborne Read III Form 4 December 13, 2018

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Rankin Claiborne Read III Issuer Symbol HYSTER-YALE MATERIALS (Check all applicable) HANDLING, INC. [HY] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Officer (give title ____X___ Other (specify (Month/Day/Year)

12/11/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

below)

Person

Applicable Line)

below)

Member of a Group

6. Individual or Joint/Group Filing(Check

X Form filed by One Reporting Person ____ Form filed by More than One Reporting

5875 LANDERBROOK DRIVE, SUITE 300

(Street)

(Sileet)

CLEVELAND, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securi Transaction(A) or Di Code (Instr. 3, (Instr. 8)		1		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	12/11/2018		Р	5 <u>(1)</u>	A	\$ 62.41 (2)	467	Ι	Proportionate interest in shares held by Rankin Associates VI	
Class A Common Stock	12/12/2018		Р	2 <u>(1)</u>	A	\$ 63.56 (3)	469	I	Proportionate interest in shares held by Rankin Associates VI	
							669	Ι		

Class A	
Common	
Stock	

proportionate limited partnership interest in shares held by Rankin Associates II

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) ive es d d		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(4)</u>					(4)	<u>(4)</u>	Class A Common Stock	669	

Reporting Owners

Reporting Owner Name / AddressDirector10% OwnerOfficerOtherDirector10% OwnerOfficerOtherRankin Claiborne Read III5875 LANDERBROOK DRIVEMember of a GroupSUITE 300CLEVELAND, OH 44124Kenter State

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact

12/13/2018

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-11-Weighted Average Share Price represents average price between \$62.15 and \$62.49.
- (3) 2018-Dec-12-Weighted Average Share Price represents average price between \$63.45 and \$63.60.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.