

RANKIN BRUCE T
Form 4
December 07, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN BRUCE T

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/04/2018

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or (D) Price | | |
| Class A Common Stock | 12/04/2018 | | P | | 367 ⁽¹⁾ A \$ 64.9897 | 27,158 | I Held by Trust for the benefit of Reporting Person. |
| Class A Common Stock | 12/04/2018 | | P | | 2 ⁽¹⁾ A \$ 64.99 ⁽²⁾ | 455 | I Proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 12/06/2018 | | P | | 367 ⁽¹⁾ A \$ 62.522 | 27,525 | I Held by Trust for the benefit of Reporting |

| | | | | | | | | |
|----------------------------|------------|---|--------------|---|------------------------|--------|---|---|
| Class A Common Stock | 12/06/2018 | P | 2 <u>(1)</u> | A | \$ <u>62.52</u> (3) | 457 | I | Person. Proportionate interest in shares held by Rankin Associates VI proportionate limited partnership interest in shares held by Rankin Associates I, L.P held in trust |
| Class A Common Stock | | | | | | 42,666 | I | proportionate limited partnership interests in shares held by RA II, L.P., held in a trust |
| Class A Common Stock | | | | | | 25,099 | I | proportionate limited partnership interest in shares of Rankin Associates IV, L.P held in trust |
| Class A Common Stock | | | | | | 46,182 | I | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|---|--|---|---|--------------------------------------|---|--|---|---|
|---|--|---|---|--------------------------------------|---|--|---|---|

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(Instr. 3,
4, and 5)

| | Code | V | (A) | (D) | Date | Expiration | Title | Amount or Number of Shares |
|----------------------------|------|---|-----|-----|-------------|------------|----------------------------|-------------------------------------|
| | | | | | Exercisable | Date | | |
| Class B Common Stock | | | | | (4) | (4) | Class A Common Stock | 747 |
| Class B Common Stock | | | | | (4) | (4) | Class A Common Stock | 76,330 |
| Class B Common Stock | | | | | (4) | (4) | Class A Common Stock | 25,099 |
| Class B Common Stock | | | | | (4) | (4) | Class A Common Stock | 73,166 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

RANKIN BRUCE T
5875 LANDERBROOK DRIVE
MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

12/06/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-4-Weighted Average - Share Price represents average price between \$64.98 and \$64.99.
- (3) 2018-Dec-6-Weighted Average - Share Price represents average price between \$62.50 and \$62.67.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.