

Rankin Lauran
Form 4
December 07, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Rankin Lauran

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
Family Member of Group

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE,
SUITE 300

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/04/2018

CLEVELAND, OH 44124

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------|----------------------------------------------------------------------------------------|
| | | | | (A) or (D) | Price | | | |
| Class A Common Stock | 12/04/2018 | | P | 1 ⁽¹⁾ | A \$ 64.99 ₍₂₎ | 173 | I | Spouse's proportionate interests in shares held by Rankin Associates VI ₍₃₎ |
| Class A Common Stock | 12/04/2018 | | P | 2 ⁽¹⁾ | A \$ 64.99 ₍₂₎ | 245 | I | Reporting Person's trust's proportionate |

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| | | | | | | | | |
|----------------------------|------------|---|--------------|---|---------------------------|--------|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Class A Common Stock | 12/04/2018 | P | 3 <u>(1)</u> | A | \$ 64.99 <u>(2)</u> | 453 | I | interests in shares held by Rankin Associates VI Child's proportionate limited partnership interest in shares held by RA VI |
| Class A Common Stock | 12/06/2018 | P | 1 <u>(1)</u> | A | \$ 62.52 <u>(4)</u> | 174 | I | Spouse's proportionate interests in shares held by Rankin Associates VI <u>(3)</u> |
| Class A Common Stock | 12/06/2018 | P | 1 <u>(1)</u> | A | \$ 62.52 <u>(4)</u> | 246 | I | Reporting Person's trust's proportionate interests in shares held by Rankin Associates VI |
| Class A Common Stock | 12/06/2018 | P | 2 <u>(1)</u> | A | \$ 62.52 <u>(4)</u> | 455 | I | Child's proportionate limited partnership interest in shares held by RA VI |
| Class A Common Stock | | | | | | 669 | I | Child's proportionate limited partnership interest in shares held by RA II LP |
| Class A Common Stock | | | | | | 10,895 | I | Spouse's proportionate interests in shares held by Rankin Associates II <u>(3)</u> |

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| | | | | | | |
|----------------------------|--|--|--|-----|---|---------------------------------------------------------------------------------------------|
| Class A Common Stock | | | | 81 | I | Spouse's proportionate interest in shares held by Rankin Associates V (3) |
| Class A Common Stock | | | | 769 | I | Held by a Trust for the benefit of Reporting Person's Spouse (3) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Class B Common Stock | (5) | | | | | (5) | (5) | Class A Common Stock | 669 |
| Class B Common Stock | (5) | | | | | (5) | (5) | Class A Common Stock | 10,895 |

| | | | | | |
|----------------------------|-----|-----|-----|----------------------------|--------|
| Class B Common Stock | (5) | (5) | (5) | Class A Common Stock | 10,027 |
|----------------------------|-----|-----|-----|----------------------------|--------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---------------------------------------------------------------------------|---------------|-----------|---------|------------------------|
| | Director | 10% Owner | Officer | Other |
| Rankin Lauran 5875 LANDERBROOK DRIVE, SUITE 300 CLEVELAND, OH 44124 | | | | Family Member of Group |

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

12/07/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-4-Weighted Average - Share Price represents average price between \$64.98 and \$64.99.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) 2018-Dec-6-Weighted Average - Share Price represents average price between \$62.50 and \$62.67.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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