RANKIN CHLOE O

Form 4

November 29, 2018

if no longer

subject to

Section 16.

Form 4 or

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* RANKIN CHLOE O

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** 

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle) HANDLING, INC. [HY] 3. Date of Earliest Transaction

(Month/Day/Year) 11/27/2018

Director 10% Owner Officer (give title \_\_X\_ Other (specify

below) Member of a Group

below)

5875 LANDERBROOK DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(Street)

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Ac	equired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/27/2018		P	2 (1)	A	\$ 64.99	511	I	Spouse's Interest in Shares held by Rankin Associates V
Class A Common Stock	11/27/2018		P	34 (1)	A	\$ 64.99	22,817	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
	11/27/2018		P	34 (1)	A		22,817	I	

Class A Common Stock					\$ 64.99			Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	11/27/2018	P	34 (1)	A	\$ 64.99	22,817	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	11/27/2018	P	1 (1)	A	\$ 64.99	70	I	Spouse's interest in shares held by RA6 held by Rankin Management
Class A Common Stock	11/27/2018	P	60 (1)	A	\$ 64.99	22,877	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	11/27/2018	P	60 (1)	A	\$ 64.99	22,877	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	11/27/2018	P	60 (1)	A	\$ 64.99	22,877	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	11/27/2018	P	1 (1)	A	\$ 64.99	205	I	Spouse's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	11/27/2018	P	2 (1)	A	\$ 64.99	444	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common						35,857	I	proportionate LP interest in

Stock			shares held by RA1
Class A Common Stock	2,116	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	2,283	I	serves as Trustee of a Trust for the benefit of Chloe O. Rankin
Class A Common Stock	19	I	spouses proportionate GP interest in shares of RA IV (2)
Class A Common Stock	14,567	I	spouse proportionate limited partnership interest in shares held by RAILP (2)
Class A Common Stock	35,628	I	spouse's proportionate interest in shares held by RAII (2)
Class A Common Stock	65,824	Ι	proportionate limited partnership interest in shares held by Rankin Associates IV, L.P (2)
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management,

		Inc. ("RMI) (2)
Class A Common Stock	61 I	Spouse's interest in shares held by RA5 held by Rankin Management
Class A Common Stock	132,073 I	spouse serves as Trustee of a Trust for the benefit of Claiborne R. Rankin (2)
Reminder: Report on a separate line for each class of securities ben	eficially owned directly or indirectly.	
	Persons who respond to the collect information contained in this form a	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Denivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	64,143
Class B Common Stock	(3)					(3)	<u>(3)</u>	Class A Common Stock	2,116
	<u>(3)</u>					<u>(3)</u>	(3)		2,783

8. Price Derivati Security (Instr. 5

Class B Common Stock				Class A Common Stock	
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	31
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	26,057
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	35,628
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	104,286
Class B Common Stock	(3)	(3)	<u>(3)</u>	Class A Common Stock	1,975
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	123,760
	(3)	(3)	(3)		6,889

Class B Common Stock					Class A Common Stock	
Class B Common Stock	(3)		(3)	(3)	Class A Common Stock	6,889
Class B Common Stock	(3)		(3)	(3)	Class A Common Stock	6,889

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN CHLOE O 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/29/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) N/A

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