Rankin Thomas Parker Form 4 November 27, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Rankin Thomas Parker

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 11/23/2018

5875 LANDERBROOK DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below)

below)

Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	ole I - Non	-Derivativ	e Sec	urities Ac	equired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/23/2018		P	1 (1)	A	\$ 64.99	80	I	proportionate interest in shares held by Rankin Associates V
Class A Common Stock	11/23/2018		P	2 (1)	A	\$ 64.99	439	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in

Rankin Assoc

# Edgar Filing: Rankin Thomas Parker - Form 4

								VI shares
Class A Common Stock	11/23/2018	P	2 (1)	A	\$ 64.99	439	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/23/2018	P	3 (1)	A	\$ 64.99	371	I	Proportionate interest in Rankin Associates VI
Class A Common Stock	11/26/2018	P	2 (1)	A	\$ 64.99	441	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/26/2018	P	2 (1)	A	\$ 64.99	441	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/26/2018	P	1 (1)	A	\$ 64.99	372	I	Proportionate interest in Rankin Associates VI
Class A Common Stock						569	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares
Class A Common Stock						761	I	Co-trustee of trust fbo niece. Reflects proportionate interests in

### Edgar Filing: Rankin Thomas Parker - Form 4

			Rankin Assoc II shares
Class A Common Stock	8,492	I	By Trust
Class A Common Stock	16,134	I	Represents Reporting Person's proportionate interest in shares held by Rankin Associates II, L.P.
Reminder: Report on a separate line for each class of securities bene-	ficially owned directly or indirectly.		
	SEC 1474 (9-02)		
	uired, Disposed of, or Beneficially Co, options, convertible securities)	wned	

5.

6. Date Exercisable and

Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Tear)	any (Month/Day/Year)			(Month/Day/Year)		(Instr. 3 and 4)		(
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(2)</u>					(2)	(2)	Class A Common Stock	569	
Class B Common Stock	<u>(2)</u>					(2)	(2)	Class A Common Stock	761	

4.

Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date

3. Transaction Date 3A. Deemed

1. Title of

Derivative

7. Title and Amount of 8. Price o

Derivativ

Security (Instr. 5)

**Underlying Securities** 

Class B Common Stock	(2)		(2)	(2)	Class A Common Stock	8,322
Class B Common Stock	<u>(2)</u>		(2)	(2)	Class A Common Stock	16,134

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Thomas Parker 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/27/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4