Rankin Thomas Parker Form 4 November 21, 2018

Check this box

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL OMB** 

Washington, D.C. 20549

3235-0287 Number: January 31,

if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Expires:

1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \*

(First)

5875 LANDERBROOK DRIVE

Rankin Thomas Parker

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** 

HANDLING, INC. [HY]

(Month/Day/Year) 11/20/2018

(Street)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Ac	equired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/20/2018		P	2 (1)	A	\$ 63.99 (2)	436	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/20/2018		P	2 (1)	A	\$ 63.99 (2)	436	I	Co-trustee of trust fbo niece. Reflects

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								proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/20/2018	P	2 (1)	A	\$ 63.99 (2)	368	Ι	Proportionate interest in Rankin Associates VI
Class A Common Stock	11/20/2018	P	1 (1)	A	\$ 64.99	437	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/20/2018	P	1 (1)	A	\$ 64.99	437	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock						569	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares
Class A Common Stock						761	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares
Class A Common Stock						8,492	I	By Trust
Class A Common Stock						79	I	proportionate interest in shares held by Rankin

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								Assoc	ciates V	
Class A Common Stock						16,134	I	intere share Rank	rting n's ortionate est in s held by	
Reminder: Re	eport on a sepa	arate line for each clas	s of securities benefi	cially owne	ed directly o	or indirectly.				
				Person informa require	s who res ation cont d to respo s a currer	pond to the ained in this and unless t atly valid OM	s form are in the form		1474 9-02)	
			tive Securities Acquuts, calls, warrants,				Owned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S			Securities	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	569	
Class B Common Stock	<u>(3)</u>					(3)	<u>(3)</u>	Class A Common Stock	761	

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Class B Common Stock	(3)		<u>(3)</u>	(3)	Class A Common Stock	8,322
Class B Common Stock	(3)		(3)	(3)	Class A Common Stock	16,134

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Thomas Parker 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/21/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-20-Weighted Average Share Price represents average price between \$63.79 and \$64.07.
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4