Rankin Thomas Parker Form 4 November 20, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**SECURITIES** 

Washington, D.C. 20549 Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction 1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \*

Rankin Thomas Parker

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(First) 5875 LANDERBROOK DRIVE

(Middle)

(Street)

2. Issuer Name and Ticker or Trading Symbol

3. Date of Earliest Transaction (Month/Day/Year)

11/15/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8	Transaction Code (Instr. 8)		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	11/15/2018		G '	V	78	A	\$ 0	78	I	proportionate interest in shares held by Rankin Associates V	
Class A Common Stock	11/16/2018		P		3 (1)	A	\$ 63.31 (2)	432	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in	

Rankin Assoc

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								VI shares
Class A Common Stock	11/16/2018	P	3 (1)	A	\$ 63.31 (2)	432	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/16/2018	P	2 (1)	A	\$ 63.31 (2)	364	I	Proportionate interest in Rankin Associates VI
Class A Common Stock	11/19/2018	P	1 (1)	A	\$ 63.71	79	I	proportionate interest in shares held by Rankin Associates V
Class A Common Stock	11/19/2018	P	2 (1)	A	\$ 63.71	434	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/19/2018	P	2 (1)	A	\$ 63.71	434	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/19/2018	P	2 (1)	A	\$ 63.71	366	I	Proportionate interest in Rankin Associates VI
Class A Common Stock						569	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares
						761	I	

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Class A Common Stock			Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares
Class A			
Common	8,492	I	By Trust
Stock			
Class A Common Stock	16,134	I	Represents Reporting Person's proportionate interest in shares held by Rankin Associates II, L.P.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration I (Month/Day re s			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	/ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(3)</u>				(3)	(3)	Class A Common Stock	569	

Class B Common Stock	(3)	(3)	(3)	Class A Common 761 Stock	
Class B Common Stock	(3)	(3)	(3)	Class A Common 8,322 Stock	2
Class B Common Stock	(3)	(3)	(3)	Class A Common 16,13 Stock	34

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Thomas Parker 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/20/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-16-Weighted Average Share Price represents average price between \$62.90 and \$63.50.
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4