

WILLIAMS CLARA R  
Form 4  
November 20, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
WILLIAMS CLARA R

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/15/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Class A Common Stock	11/15/2018		G	V 398 A \$ 0	5,524	I	proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	11/15/2018		G	V 398 A \$ 0	677	I	Child's proportionate partnership interest shares held by AMR Associates LP
	11/15/2018		G	V 398 A \$ 0	677	I	

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Class A Common Stock								Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	11/15/2018	G	V 78	A	\$ 0	78	I	Reporting Person's proportionate interests in shares held by Rankin Associates V
Class A Common Stock	11/16/2018	P	202 <sup>(1)</sup>	A	\$ 63.3146	91,885	I	Held by trust for the benefit of Reporting Person
Class A Common Stock	11/16/2018	P	1 <sup>(1)</sup>	A	\$ 63.31 <sub>(2)</sub>	79	I	Reporting Person's proportionate interests in shares held by Rankin Associates V
Class A Common Stock	11/16/2018	P	2 <sup>(1)</sup>	A	\$ 63.31 <sub>(2)</sub>	364	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/16/2018	P	2 <sup>(1)</sup>	A	\$ 63.31 <sub>(2)</sub>	433	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/16/2018	P	3 <sup>(1)</sup>	A	\$ 63.31 <sub>(2)</sub>	432	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/16/2018	P	3 <sup>(1)</sup>	A	\$ 63.31 <sub>(2)</sub>	432	I	Child's proportionate interest in shares held by

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Class A Common Stock	11/19/2018	P	200 <u>(1)</u>	A	\$ 63.7977	92,085	I	Rankin Associates VI  Held by trust for the benefit of Reporting Person
Class A Common Stock	11/19/2018	P	2 <u>(1)</u>	A	\$ 63.71	366	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/19/2018	P	3 <u>(1)</u>	A	\$ 63.71	436	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/19/2018	P	2 <u>(1)</u>	A	\$ 63.71	434	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/19/2018	P	2 <u>(1)</u>	A	\$ 63.71	434	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/19/2018	P	1 <u>(1)</u>	A	\$ 65	435	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/19/2018	P	1 <u>(1)</u>	A	\$ 65	435	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						49,811	I	Reporting Person's proportionate interests in

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Class A Common Stock	11,750	I	shares held by Rankin Associates I. Reporting Person's proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	32,369	I	Reporting Person's proportionate interests in shares held by Rankin Associates IV.
Class A Common Stock	3,162	I	By Spouse <sup>(3)</sup>
Class A Common Stock	7,104	I	spouse's proportionate LP interest in shares held by RA II LP <sup>(3)</sup>
Class A Common Stock	9,945	I	Minor child's trust's proportionate interests in shares held by Rankin Associates II. <sup>(3)</sup>
Class A Common Stock	2,983	I	Reporting Person's spouse is trustee of a Trust fbo minor child. <sup>(3)</sup>
Class A Common Stock	8,570	I	Minor child's trust's proportionate interests in shares held by Rankin

Class A Common Stock	4,357	I	Associates II. (3) Reporting Person's spouse is trustee of a Trust fbo minor child. (3)
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(4)	11/15/2018		G	V 463	(4)	(4)	Class A Common Stock	463 \$ 0
Class B Common Stock	(4)	11/15/2018		G	V 464	(4)	(4)	Class A Common Stock	464 \$ 0
Class B Common Stock	(4)	11/15/2018		G	V 464	(4)	(4)	Class A Common Stock	464 \$ 0

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Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	89,105
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	11,750
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	51,283
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	58,586
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	2,332
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	7,104
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	9,945
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	2,152

