RANKIN CLAIBORNE R

Form 4

November 13, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

burden hours per

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

RANKIN CLAIBORNE R

Symbol

(Check all applicable)

HYSTER-YALE MATERIALS HANDLING, INC. [HY]

(Last)

(Middle)

3. Date of Earliest Transaction

__X__ Director ____ Officer (g below)

_____ Director ______ 10% Owner Officer (give title ___X___ Other (specify

5875 LANDERBROOK DRIVE

(Street)

(First)

(Month/Day/Year) 11/08/2018

below)
Member of a Group

11/00/2010

6. Individual or Joint/Group Filing(Check Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

Ι

Person

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securiti | es Acq | quired | 5. Amount of | 6. | 7. Nature of |
|------------|---------------------|--------------------|-----------------------------------|-------------|--------------|------------|------------------|-------------|---------------|
| Security | (Month/Day/Year) | Execution Date, if | Transaction(A) or Disposed of (D) | | | Securities | Ownership | Indirect | |
| (Instr. 3) | | any | Code (Instr. 3, 4 and 5) | | Beneficially | Form: | Beneficial | | |
| | | (Month/Day/Year) | (Instr. 8) | | | | Owned | Direct (D) | Ownership |
| | | | | | | | Following | or Indirect | (Instr. 4) |
| | | | | | (A) | | Reported | (I) | |
| | | | | | (A) | | Transaction(s) | (Instr. 4) | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| | | | Code v | Amount | (D) | Titte | | | C 1 |
| | | | | | | | | | Spouse's |
| C1 A | | | | | | | | | proportionate |

Class A
Common 11/08/2018 G V 223 A \$ 0 414 I
Stock

interest in shares held by Rankin Associates VI

Class A 11/08/2018 Common G V 1,262 D

\$ 0 35,628

proportionate

limited partnership interests in shares held by

Rankin

Stock

| | | | | | | | | Associates II, L.P |
|----------------------------|------------|---|--------|---|--------------------|--------|---|---|
| Class A Common Stock | 11/09/2018 | P | 1 (1) | A | \$ 64.98 (2) | 228 | I | Interest in Shares held by Rankin Associates V |
| Class A Common Stock | 11/09/2018 | P | 62 (1) | A | \$ 64.98 (2) | 13,603 | I | Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach |
| Class A Common Stock | 11/09/2018 | P | 62 (1) | A | \$ 64.98 (2) | 13,603 | Ĭ | Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr. |
| Class A Common Stock | 11/09/2018 | P | 62 (1) | A | \$ 64.98 (2) | 13,603 | I | Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers |
| Class A Common Stock | 11/09/2018 | P | 1 (1) | A | \$ 64.98 (2) | 192 | I | Proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 11/09/2018 | P | 3 (1) | A | \$ 64.98 (2) | 417 | I | Spouse's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | | | | | | 35,857 | I | Spouse's proportionate interests in shares held by Rankin Associates I. |
| Class A Common Stock | | | | | | 2,116 | I | spouse's proportionate limited partnership interest in |

| | | | shares held by Rankin Associates II LP (3) |
|----------------------|--------|---|---|
| Class A Common Stock | 2,283 | I | Held in trust fbo Reporting Person's spouse. (3) |
| Class A Common Stock | 19 | I | Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person as general partner |
| Class A Common Stock | 14,567 | I | proportionate limited partnership interest in shares held by Rankin Associates I, L.P |
| Class A Common Stock | 65,824 | I | proportionate limited partnership interest in shares held by Rankin Associates IV, L.P |
| Class A Common Stock | 57 | I | interest in shares held by RAV held by Rankin Management, Inc. ("RMI") |
| Class A Common Stock | 65 | I | interest in shares held by RAVI held by Rankin Management, Inc. ("RMI") |
| Class A Common | 1,975 | I | proportionate interest in |

| | | Edgar | Filing: RANKIN | CLAII | BO | KINE | : H - F | orm 4 | | | |
|---|---|---|---|---------------|---------------------------|--------------------------|--|---|---|---|----------------------------------|
| Stock | | | | | | | | | | shares he Rankin Managen Inc. ("RN | nent, |
| Class A Common Stock | | | | | | | 1 | 32,073 | I | Reporting Person se as Truste Trust for benefit of Claiborne Rankin | erves e of a the |
| Reminder: R | eport on a sepa | arate line for each clas | ss of securities benefi | cially ov | vne | d direc | ctly or inc | directly. | | | |
| | | | | infor requ | ma ired lays | tion of to re a cu | containe espond | nd to the colled in this for unless the f valid OMB o | m are not orm | SEC 1474 (9-02) | |
| | | | ative Securities Acquuts, calls, warrants, | | | | | | ed | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | Transaction of Derivative | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Class B Common Stock | <u>(4)</u> | 11/08/2018 | | G | V | | 1,262 | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 1,262 |
| Class B Common Stock | <u>(4)</u> | | | | | | | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 64,143 |
| Class B Common | <u>(4)</u> | | | | | | | <u>(4)</u> | <u>(4)</u> | Class A Common | 2,116 |

Stock

Stock

8. F Der Sec (Ins

| Class B Common Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 2,783 |
|----------------------------|------------|------------|------------|----------------------------|---------|
| Class B Common Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 31 |
| Class B Common Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 26,057 |
| Class B Common Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 104,286 |
| Class B Common Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 1,975 |
| Class B Common Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 123,760 |
| Class B Common | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A Common | 6,889 |

Stock Stock

| Class B Common Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 6,889 |
|----------------------------|------------|------------|------------|----------------------------|-------|
| Class B Common Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 6,889 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN CLAIBORNE R 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

X

Member of a Group

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact

11/12/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-9-Weighted Average Share Price represents average price between \$64.92 and \$64.99.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) N/A
- (5) proportionate limited partnership interest in shares of Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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