RANKIN BRUCE T

Form 4

November 06, 2018

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

burden hours per response... 0.5

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Washington, D.C. 20549

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Section 16.

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* RANKIN BRUCE T

2. Issuer Name and Ticker or Trading

Issuer

Symbol

**HYSTER-YALE MATERIALS** 

(Check all applicable)

5. Relationship of Reporting Person(s) to

HANDLING, INC. [HY] (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

11/02/2018

Member of a Group

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

MAYFIELD HEIGHTS, OH 44124

5875 LANDERBROOK DRIVE

(City)	(State)	(Zip) Ta	ble I - Non	ı-Derivati	ve Sec	urities Acqu	iired, Disposed o	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	or Dispo (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/02/2018		P	397 (1)	A	\$ 63.5	20,437	I	Held by Trust for the benefit of Reporting Person.
Class A Common Stock	11/02/2018		P	1 (1)	A	\$ 63.5	190	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018		P	371 (1)	A	\$ 64.9841	20,808	I	Held by Trust for the benefit of Reporting

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								Person.
Class A Common Stock	11/05/2018	Р	1 (1)	A	\$ 64.98 (2)	191	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						42,666	I	proportionate limited partnership interest in shares held by Rankin Associates I, L.P held in trust
Class A Common Stock						26,119	I	proportionate limited partnership interests in shares held by RA II, L.P., held in a trust
Class A Common Stock						46,182	I	proportionate limited partnership interest in shares of Rankin Associates IV, L.P held in trust
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  SEC 1474  (9-02)								
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned								

# (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. orNumber of Derivative Securities Acquired (A) or Disposed	(Month/Day/Year) ee s	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				of (D)			

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(Instr. 3, 4, and 5)

		Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(3)</u>				` ,	(3)	(3)	Class A Common Stock	747
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	76,330
Class B Common Stock	(3)					(3)	<u>(3)</u>	Class A Common Stock	26,119
Class B Common Stock	(3)					<u>(3)</u>	<u>(3)</u>	Class A Common Stock	73,166

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN BRUCE T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Reporting Owners 3

### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/06/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-5-Weighted Average Share Price represents average price between \$64.83 and \$64.99.
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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