RANKIN CORBIN

Form 4

November 06, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

RANKIN CORBIN

2. Issuer Name and Ticker or Trading

Symbol

HYSTER-YALE MATERIALS HANDLING, INC. [HY]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

Director

10% Owner Officer (give title __X_ Other (specify

5875 LANDERBROOK DRIVE

11/02/2018

Filed(Month/Day/Year)

4. If Amendment, Date Original

Issuer

below)

below) Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Ta	ble I - Non	-Derivati	ve Sec	curities Acqu	uired, Disposed o	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	owr Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/02/2018		P	66 (1)	A	\$ 63.5	149,405	I	spouse serves as Trustee of a Trust for the benefit of Thomas T. Rankin (2)
Class A Common Stock	11/02/2018		P	1 (1)	A	\$ 63.5	227	I	Spouse's proportionate interest in shares held by RAV
	11/02/2018		P	59 <u>(1)</u>	A	\$ 63.5	13,486	I	

Class A Common Stock								Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	11/02/2018	P	59 (1)	A	\$ 63.5	13,486	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	11/02/2018	P	59 (1)	A	\$ 63.5	13,486	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	11/02/2018	P	1 (1)	A	\$ 63.5	191	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/02/2018	P	1 (1)	A	\$ 63.5	191	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	62 (1)	A	\$ 64.9841	149,467	I	spouse serves as Trustee of a Trust for the benefit of Thomas T. Rankin (2)
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (<u>3)</u>	228	I	Spouse's proportionate interest in shares held by RAV
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (<u>3)</u>	65	I	Spouse's Proportionate Interest in shares held by RAVI held by

								Rankin Management
Class A Common Stock	11/05/2018	P	55 <u>(1)</u>	A	\$ 64.98 (<u>3)</u>	13,541	I	Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	11/05/2018	P	55 <u>(1)</u>	A	\$ 64.98 (3)	13,541	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	11/05/2018	P	55 <u>(1)</u>	A	\$ 64.98 (<u>3)</u>	13,541	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	11/05/2018	Р	1 (1)	A	\$ 64.98 (3)	192	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/05/2018	P	1 (1)	A	\$ 64.98 (<u>3)</u>	192	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						3,622	D	
Class A Common Stock						14,343	I	Reporting Person's LP interest in shares held in RA1
Class A Common Stock						1,843	I	Reporting person's proportionate interests in shares held by Rankin

			Associates II, L.P
Class A Common Stock	7	I	Held by Spouse
Class A Common Stock	19	I	spouse's proportionate general partnership interest in shares of RA IV LP held by the Trust (4)
Class A Common Stock	25,324	I	spouse's proportionate partnership interest in shares held by RAILP (4)
Class A Common Stock	35,872	I	spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P (4)
Class A Common Stock	65,824	I	Spouses proportionate LP interest in shares held in RA4 (4)
Class A Common Stock	57	I	Spouse's proportionate Interest in shares held by RAV held by Rankin Management
Class A Common Stock	1,975	I	Spouse's proportionate interest in shares held in RMI (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Price Derivati Security (Instr. 5

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Drivative of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	(5)	Class A Common Stock	3,622
Class B Common Stock	<u>(5)</u>					(5)	<u>(5)</u>	Class A Common Stock	25,657
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	1,843
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	(5)	Class A Common Stock	6,889

Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	(5)	(5)	Class A Common Stock	7
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common Stock	31
Class B Common Stock	(<u>5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	45,300
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common Stock	35,872
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	104,286
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	145,912
	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>		1,975

Class B
Common
Stock
Class A
Common
Stock

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN CORBIN 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact

11/06/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2018-Nov-5-Weighted Average Share Price represents average price between \$64.83 and \$64.99.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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