Rankin Thomas Parker Form 4 November 02, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \*

Rankin Thomas Parker

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 10/31/2018

5875 LANDERBROOK DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below)

below) Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	ole I - Non	-Derivativ	e Sec	urities A	equired, Dispose	d of, or Benef	ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securion(A) or Do (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Commo Stock			P	1 <u>(1)</u>	A	\$ 61.07 (2)	184	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Commo Stock	10/31/2018 n		P	1 (1)	A	\$ 61.07 (2)	184	I	Co-trustee of trust fbo niece. Reflects

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								proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/31/2018	P	1 (1)	A	\$ 61.07	185	I	Proportionate interest in Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 (1)	A	\$ 61.83	185	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/31/2018	P	1 (1)	A	\$ 61.83 ( <u>3)</u>	185	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/31/2018	Р	1 (1)	A	\$ 62.97 (4)	186	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/31/2018	P	1 (1)	A	\$ 62.97 (4)	186	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	10/31/2018	P	1 (1)	A	\$ 62.97 (4)	186	I	Proportionate interest in Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 61.67 (5)	187	I	Co-trustee of trust fbo nephew.

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								Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 61.67 (5)	187	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/01/2018	P	2 (1)	A	\$ 61.67 (5)	188	I	Proportionate interest in Rankin Associates VI
Class A Common Stock	11/01/2018	Р	1 (1)	A	\$ 62.18 <u>(6)</u>	188	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 62.18 <u>(6)</u>	188	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock						262	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares
Class A Common Stock						454	I	Co-trustee of trust fbo niece. Reflects proportionate interests in

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		9	9						
								Rankin Assoc II shares	
Class A Common Stock						8,492	I	By Trust	
Class A Common Stock	eport on a sep.	arate line for each cla	ss of securities benefi	cially owne	ed directly o	15,827	I	Represents Reporting Person's proportionate interest in shares held by Rankin Associates II, L.P.	
				informa require	ation cont d to respo s a curre	spond to the cained in this ond unless the other than the other th	form are ne form	<b>not</b> (9-02)	
			ntive Securities Acqu outs, calls, warrants,			-	wned		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. orNumber of Derivative		te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)

Security (Instr. 3)	or Exercise Price of Derivative Security	(Month Day, Teat)	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			(Instr. 3 and		(
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common Stock	262	
Class B Common Stock	<u>(7)</u>					<u>(7)</u>	<u>(7)</u>	Class A Common Stock	454	

Class B Common Stock	(7)		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	8,322
Class B Common Stock	<u>(7)</u>		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	15,827

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Thomas Parker 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/02/2018

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-31 -Block 1 Weighted Average- Share Price represents average price between \$60.50 and \$61.34.
- (3) 2018-Oct-31 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.00.
- (4) 2018-Oct-31 -Block 3 Weighted Average- Share Price represents average price between \$62.85 and \$64.99.
- (5) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (6) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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