Vested Trust for James T. Rankin, Jr.

Form 4

November 02, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number: January 31,

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5. Relationship of Reporting Person(s) to

Issuer

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

2. Issuer Name and Ticker or Trading

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction

Symbol

1(b).

Stock

Common

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Vested Trust for James T. Rankin, Jr.

			HYSTER-YALE MATERIALS HANDLING, INC. [HY]					(Check all applicable)				
(Last)	` '	(N	3. Date of Earliest Transaction (Month/Day/Year)					Director Officer (g	_ 10% Owner _ Other (specify			
5875 LANDERBROOK DRIVE, SUITE 300			10/31/2018					below) below)  Member of a group				
(Street) 4. If Amenda						nal		6. Individual or Joint/Group Filing(Check				
Filed(Month/Day/Year)								Applicable Line _X_ Form filed	le Line) n filed by One Reporting Person			
CLEVELAND, OH 44124 — Form filed by More than One Reporting Person									ne Reporting			
(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									ficially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Datany (Month/Day/Y	te, if T	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			_	Toda W	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Class A Common Stock	n 10/31/2018			P	1 <u>(1)</u>	A	\$ 61.07 (2)	184	I	Proportionate interest in shares held by Rankin Associates VI held in trust		
Class A	10/31/2018			P	1 (1)	A	\$	185	I	Proportionate		

(3)

61.83

shares held by Rankin

Associates VI

interest in

								held in trust
Class A Common Stock	10/31/2018	P	1 (1)	A	\$ 62.97 (4)	186	I	Proportionate interest in shares held by Rankin Associates VI held in trust
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 61.67 (5)	187	I	Proportionate interest in shares held by Rankin Associates VI held in trust
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 62.18 <u>(6)</u>	188	I	Proportionate interest in shares held by Rankin Associates VI held in trust
Class A Common Stock						262	I	proportionate limited partnership interest in shares held by Rankin Associates II

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4. 5.		6. Date Exercisable and		7. Title and Amount of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Underlying Securities	
Security	or Exercise		any	Code of		(Month/Day/	Year)	(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e			
	Derivative				Securities				
	Security				Acquired				
	_				(A) or				
				Disposed					
				of (D)					
					(Instr. 3,				
					4, and 5)				
					, ,				
				Code V	(A) (D)	Date	Expiration	Title	Amount
						Exercisable	Date		or
									Number

8. Price of Derivative Security (Instr. 5)

of Shares

 Class B
 Class A

 Common (7)
 (7)
 Common 262

 Stock
 Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Vested Trust for James T. Rankin, Jr. 5875 LANDERBROOK DRIVE SUITE 300 CLEVELAND, OH 44124

Member of a group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/02/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-31 -Block 1 Weighted Average- Share Price represents average price between \$60.50 and \$61.34.
- (3) 2018-Oct-31 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.00.
- (4) 2018-Oct-31 -Block 3 Weighted Average- Share Price represents average price between \$62.85 and \$64.99.
- (5) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (6) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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