

Rankin Lynne T
 Form 4
 November 02, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Rankin Lynne T

2. Issuer Name and Ticker or Trading Symbol
 HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 5875 LANDERBROOK DRIVE
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 11/01/2018

____ Director _____ 10% Owner
 ____ Officer (give title below) Other (specify below)
 Member of a Group

MAYFIELD HEIGHTS, OH 44124
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/01/2018		P	1 ⁽¹⁾ A	\$ 61.67 ₍₂₎ 187	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/01/2018		P	1 ⁽¹⁾ A	\$ 61.67 ₍₂₎ 187	I	Co-trustee of trust fbo nephew. Reflects

Edgar Filing: Rankin Lynne T - Form 4

Class A Common Stock	11/01/2018	P	2 <u>(1)</u>	A	\$ 61.67 <u>(2)</u>	188	I	proportionate interests in Rankin Assoc VI shares Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	2 <u>(1)</u>	A	\$ 61.67 <u>(2)</u>	188	I	Reporting Person's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 61.67 <u>(2)</u>	187	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 61.67 <u>(2)</u>	187	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 62.18 <u>(3)</u>	188	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 62.18 <u>(3)</u>	188	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common	11/01/2018	P	1 <u>(1)</u>	A	\$ 62.18	188	I	Child's proportionate

Edgar Filing: Rankin Lynne T - Form 4

Stock					<u>(3)</u>			
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 62.18 <u>(3)</u>	188	I	interest in shares held by Rankin Associates VI Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						262	I	child's proportionate limited partnership interest in shares held by Rankin Associates II
Class A Common Stock						9,665	I	Spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P <u>(4)</u>
Class A Common Stock						15,853	I	Shares held by Spouse. <u>(4)</u>
Class A Common Stock						563	D	
Class A Common Stock						862	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock						454	I	Minor child's proportionate interests in shares held by Rankin Associates II.

Edgar Filing: Rankin Lynne T - Form 4

Class A Common Stock	1,553	I	(4) Spouse is co-trustee of Trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares. (4)
Class A Common Stock	645	I	Spouse serves as co-trustee of a trust for the benefit of minor niece. (4)
Class A Common Stock	1,405	I	Spouse is co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares (4)
Class A Common Stock	563	I	Reporting Person's Spouse is co-trustee of the trust of minor nephew (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
---	--	---	---	--------------------------------------	---	--	---	---

Edgar Filing: Rankin Lynne T - Form 4

Disposed
of (D)
(Instr. 3,
4, and 5)

	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock					(5)	(5)	Class A Common Stock	262
Class B Common Stock					(5)	(5)	Class A Common Stock	9,665
Class B Common Stock					(5)	(5)	Class A Common Stock	15,683
Class B Common Stock					(5)	(5)	Class A Common Stock	563
Class B Common Stock					(5)	(5)	Class A Common Stock	862
Class B Common Stock					(5)	(5)	Class A Common Stock	454
Class B Common					(5)	(5)	Class A Common	1,553

Stock

Stock

Class B
Common
Stock

(5)

(5)

(5)

Class A
Common
Stock

645

Class B
Common
Stock

(5)

(5)

(5)

Class A
Common
Stock

1,405

Class B
Common
Stock

(5)

(5)

(5)

Class A
Common
Stock

563

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rankin Lynne T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

11/02/2018

 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (3) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.

Edgar Filing: Rankin Lynne T - Form 4

(4) Reporting Person disclaims beneficial ownership of all such shares.

(5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.