RANKIN JAMES T Form 4

November 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: 3235-0287 Expires: January 31, 2005

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

SECURITIES

Estimated average burden hours per response... 0.5

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

RANKIN JAMES T

Symbol HYSTER-YALE MATERIALS HANDLING, INC. [HY]

(Check all applicable)

(Last)

(C:tr.)

(First) (Middle)

(Zin)

3. Date of Earliest Transaction

____ Director _____ 10% Owner ____ Officer (give title __X__ Other (specify

5875 LANDERBROOK DRIVE

(Street)

(Month/Day/Year) 11/01/2018

below)

DRIVE 11/01/2018

Member of a Group

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person ____ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

MAYFIELD HEIGHTS, OH 44124

(State)

(City)	(State)	(Zip) Tal	ole I - Non	-Derivativ	e Sec	urities Ac	quired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	(A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/01/2018		Р	1 (1)	A	\$ 61.67 (2)	187	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/01/2018		P	1 (1)	A	\$ 61.67 (2)	187	I	Co-trustee of trust fbo nephew. Reflects

								proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/01/2018	P	2 (1)	A	\$ 61.67 (2)	188	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	2 (1)	A	\$ 61.67 (2)	188	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 61.67 (2)	187	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 61.67 (2)	187	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 62.18 (3)	188	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 62.18 (3)	188	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 62.18 (3)	188	I	Child's proportionate interest in shares held by

								Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 62.18 (3)	188	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						9,665	I	proportionate limited partnership interests in shares held by RA II LP
Class A Common Stock						262	I	child's proportionate limited partnership interest in shares held by Rankin Associates II
Class A Common Stock						15,853	I	Held by Trust
Class A Common Stock						862	I	Spouse's proportionate limited partnership interests in shares held by Rankin Associates II LP (4)
Class A Common Stock						563	I	Shares held by spouse (4)
Class A Common Stock						454	I	Minor child?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock						1,553	I	Co-trustee of trust fbo niece.

			Reflects proportionate interests in Rankin Assoc II shares. (4)
Class A Common Stock	645	I	co-trustee of trust for the benefit of minor niece (4)
Class A Common Stock	1,405	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares. (4)
Class A Common Stock	563	I	co-trustee of the trust for the benefit of the minor nephew (4)
Reminder: Report on a separate line for each class of securities benefit	icially owned directly or indirectly.		
	Persons who respond to the co	llection of	SEC 1474

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

information contained in this form are not

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	TransactionNumber Expiration Date		Underlying Securities		
Security	or Exercise		any	Code	of	(Month/Day/	Year)	(Instr. 3 and	4)
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(5)</u>					(5)	(5)	Class A Common Stock	9,665

8. Price of Derivative Security (Instr. 5)

(9-02)

Class B Common Stock	(5)	(5)	<u>(5)</u>	Class A Common Stock	262
Class B Common Stock	(<u>5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	15,683
Class B Common Stock	<u>(5)</u>	(5)	(5)	Class A Common Stock	862
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	563
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common Stock	454
Class B Common	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common	1,553
Stock				Stock	
Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	645

Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(.</u>	<u>5)</u>	Class A Common Stock	1,405
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(</u>	<u>5)</u>	Class A Common Stock	563

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN JAMES T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact

11/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (3) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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