

RANKIN ALFRED M ET AL  
Form 4  
November 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN ALFRED M ET AL

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/01/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)        |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| Class A Common Stock            | 11/01/2018                           |  | P                              | 1 <sup>(1)</sup> A  | \$ 61.67 <sub>(2)</sub> 188   | I  | Represents the proportionate interest in shares held by RAVI |
| Class A Common Stock            | 11/01/2018                           |  | P                              | 1 <sup>(1)</sup> A  | \$ 61.67 <sub>(2)</sub> 188   | I  | Spouse's proportionate interest in shares held by RA VI      |
| Class A Common                  | 11/01/2018                           |  | P                              | 1 <sup>(1)</sup> A  | \$ 61.67 188  | I  | Brother's Proportionate                                      |

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| Stock                |            |   |                   |   | (2)                     |         |   |   |
|----------------------|------------|---|-------------------|---|-------------------------|---------|---|---|
| Class A Common Stock | 11/01/2018 | P | 32 <sup>(1)</sup> | A | \$ 62.18 <sub>(3)</sub> | 7,305   | I | interest in share held by Rankin Associates VI<br><br>Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA6<br><br>Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler |
| Class A Common Stock | 11/01/2018 | P | 63 <sup>(1)</sup> | A | \$ 62.18 <sub>(3)</sub> | 18,215  | I | Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams  |
| Class A Common Stock | 11/01/2018 | P | 63 <sup>(1)</sup> | A | \$ 62.18 <sub>(3)</sub> | 18,215  | I | Represents the proportionate interest in shares held by RAVI  |
| Class A Common Stock | 11/01/2018 | P | 1 <sup>(1)</sup>  | A | \$ 62.18 <sub>(3)</sub> | 189     | I | Spouse's proportionate interest in shares held by RA VI   |
| Class A Common Stock | 11/01/2018 | P | 1 <sup>(1)</sup>  | A | \$ 62.18 <sub>(3)</sub> | 189     | I | Brother's Proportionate interest in share held by Rankin Associates VI  |
| Class A Common Stock |            |   |                   |   |                         | 175,278 | I | Represents the proportionate limited partnership interest in  |

|                            |         |   |   |
|----------------------------|---------|---|---|
| Class A<br>Common<br>Stock | 14,752  | I | shares held by<br>AMR<br>Associates LP<br><br>Held in an<br>Individual<br>Retirement<br>Account for<br>the benefit of<br>the Reporting<br>Person. |
| Class A<br>Common<br>Stock | 113,159 | I | Reporting<br>Person serves<br>as Trustee of a<br>Trust for the<br>benefit of the<br>Alfred M.<br>Rankin, Jr.                                      |
| Class A<br>Common<br>Stock | 10,271  | I | proportionate<br>LP interest in<br>shares held by<br>RA I in<br>qualified<br>annuity<br>interest<br>trust-benefit<br>of AMR                       |
| Class A<br>Common<br>Stock | 951     | I | Represents the<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates II,<br>LP                      |
| Class A<br>Common<br>Stock | 19      | I | proportionate<br>general<br>partnership<br>interest shares<br>held by RAIV  |
| Class A<br>Common<br>Stock | 1,087   | I | Proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates IV,  |

|                            |        |   | L.P  |
|----------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 226    | I | Represents the proportionate interest in shares held by RA5  |
| Class A<br>Common<br>Stock | 1,975  | I | proportionate LP interest in shares of RA II LP held by Rankin Management Inc as general partner               |
| Class A<br>Common<br>Stock | 57     | I | Proportionate Interest in shares held by RA5 held by Rankin Management Inc.                                    |
| Class A<br>Common<br>Stock | 64     | I | Proportionate Interest in shares held by RA6 held by Rankin Management Inc.                                    |
| Class A<br>Common<br>Stock | 18,625 | I | Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. <sup>(4)</sup> |
| Class A<br>Common<br>Stock | 42,666 | I | proportionate LP interest in shares held by RA I LP held in trust for benefit of Bruce Rankin <sup>(4)</sup>   |
| Class A<br>Common<br>Stock | 26,119 | I | proportionate LP interest in shares held by  |

|                      |         |   |  |  |
|----------------------|---------|---|--|--|
|                      |         |   |  | RA II LP held in trust for benefit of Bruce Rankin <sup>(4)</sup>  |
| Class A Common Stock | 46,182  | I |  | Trustee of a Trust for the benefit of Bruce T Rankin, Trust's proportionate interest of RAIV <sup>(4)</sup>    |
| Class A Common Stock | 20,040  | I |  | Reporting person serves as Trustee of a Trust for the benefit of Bruce T. Rankin <sup>(4)</sup>                |
| Class A Common Stock | 111,818 | I |  | Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA5                        |
| Class A Common Stock | 6,673   | I |  | Serves as trustee of trust for the benefit of Clara Rankin <sup>(5)</sup>                                      |
| Class A Common Stock | 9,600   | I |  | Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren <sup>(4)</sup> |
| Class A Common Stock | 47,698  | I |  | spouse serves as Trustee of a Trust for the benefit of Victoire G.   |

Rankin <sup>(4)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares |
| Class B Common Stock                       | (6)  |                                      |  |                                |   | (6)  | (6)   | Class A Common Stock                       | 204,146                    |
| Class B Common Stock                       | (6)  |                                      |  |                                |   | (6)  | (6)   | Class A Common Stock                       | 14,160                     |
| Class B Common Stock                       | (6)  |                                      |  |                                |   | (6)  | (6)   | Class A Common Stock                       | 17,556                     |
| Class B Common Stock                       | (6)  |                                      |  |                                |   | (6)  | (6)   | Class A Common Stock                       | 18,373                     |

|                            |            |  |            |            |                            |        |
|----------------------------|------------|--|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 951    |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 31     |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 1,721  |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 1,975  |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 18,625 |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 76,330 |

|                            |            |  |            |            |                            |        |
|----------------------------|------------|--|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 26,119 |
|----------------------------|------------|--|------------|------------|----------------------------|--------|

|                            |            |  |            |            |                            |        |
|----------------------------|------------|--|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 73,166 |
|----------------------------|------------|--|------------|------------|----------------------------|--------|

|                            |            |  |            |            |                            |     |
|----------------------------|------------|--|------------|------------|----------------------------|-----|
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 747 |
|----------------------------|------------|--|------------|------------|----------------------------|-----|

|                            |            |  |            |            |                            |       |
|----------------------------|------------|--|------------|------------|----------------------------|-------|
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 9,600 |
|----------------------------|------------|--|------------|------------|----------------------------|-------|

|                            |            |  |            |            |                            |       |
|----------------------------|------------|--|------------|------------|----------------------------|-------|
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 6,889 |
|----------------------------|------------|--|------------|------------|----------------------------|-------|

|                   |            |  |            |            |                   |       |
|-------------------|------------|--|------------|------------|-------------------|-------|
| Class B<br>Common | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common | 6,889 |
|-------------------|------------|--|------------|------------|-------------------|-------|



Stock

Stock

|                            |     |     |     |                            |        |
|----------------------------|-----|-----|-----|----------------------------|--------|
| Class B<br>Common<br>Stock | (6) | (6) | (6) | Class A<br>Common<br>Stock | 21,006 |
|----------------------------|-----|-----|-----|----------------------------|--------|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |          |       |
|---|---------------|-----------|----------|-------|
|   | Director      | 10% Owner | Officer  | Other |
| RANKIN ALFRED M ET AL<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 | X             |           | Chairman |       |

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

11/02/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (3) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) Reporting person serves as Trust for Clara L.T. Rankin Trust
- (6) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.