Edgar Filing: Patterson Robert M - Form 4

Form 4	obert M												
February 16	6, 2018												
FORM 4 LINITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL					
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287			
Check this box										Expires:	January 31, 2005		
if no longer subject to STATEMENT OF Cl							CIA	L OWN	ERSHIP OF	Estimated average			
Section 16.				SECURITIES						burden hours per			
Form 4 Form 5	Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 193					A at af 1024	response	0.5					
obligati								•	Act of 1934, 1935 or Section				
may con	ninue.			•		t Company	· ·						
<i>See</i> Inst 1(b).	ruction	00(11)				, compun	,		·				
(Print or Type	Responses)												
1. Name and	Address of Reporting	g Person <u>*</u>	2. Issu	er Name	e an	d Ticker or	Fradin	g	5. Relationship of l	Reporting Perso	on(s) to		
Patterson F	Robert M		Symbol						Issuer				
			POLY	ONE (COI	RP [POL]			(Check all applicable)				
(Last)	(First)	(Middle)	3. Date	of Earlie	est T	Fransaction			(Cheek		, 		
(M				'Day/Ye	ar)				_X_ Director		Owner		
POLYONE CENTER, 33587			01/10/2018					:	XOfficer (give titleOther (specify below) below)				
WALKER	ROAD								Chairman	President & C	EO		
(Street) 4				4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
			Filed(M	onth/Day	/Yea	ar)			Applicable Line) _X_ Form filed by O	na Panarting Par	son		
Δνονιά	KE, OH 44012								Form filed by M				
	IKL, 011 44012								Person				
(City)	(State)	(Zip)	Tal	ble I - N	on-	Derivative S	Securi	ties Acqu	ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security	2. Transaction Date 2A. Deem (Month/Day/Year) Execution			3. Transa	ictio	4. Securitie mDisposed o		uired (A)	or 5. Amount of Securities	6. Ownership	7. Nature of Indirect		
(Instr. 3)		Code (Instr. 3, 4 and 5)						Beneficially	Form:	Beneficial			
		(Month/Da	iy/Year)	(Instr.	8)				Owned Following	Direct (D) or Indirect	Ownership (Instr. 4)		
							(A)		Reported	(I)	()		
							or		Transaction(s) (Instr. 3 and 4)				
~				Code	V	Amount	(D)	Price	(insu: 5 and 4)				
Common Stock	01/10/2018			А	V	921.364 (1)	А	\$ 45.441	1 257,545.32	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

years.

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amor or Numl of Sh
Restricted Stock Units	<u>(2)</u>	02/14/2018		А	33,100	02/14/2021	02/14/2021	Common Stock	33,1
Stock Appreciation Rights	\$ 41.89	02/14/2018		А	94,550	(3)	02/14/2028	Common Stock	94,5

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Patterson Robert M POLYONE CENTER 33587 WALKER ROAD AVON LAKE, OH 44012	Х		Chairman, President & CEO				
Signatures							

/s/ Lisa K. Kunkle, Power of Attorny For: Robert M.	02/16/2018
Patterson	02/10/2010
* Signature of Reporting Person	Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired pursuant to dividend reinvestment since the last Form 4 was filed.
- (2) Each restricted stock unit represents a contingent right to receive one share of PolyOne common stock.

SARs become exercisable and vest only upon the achievement of both price and time requirements. To vest, each one-third of the grant must attain 10%, 15% and 20% stock appreciation, respectively (which must be maintained for a minimum of thirty consecutive trading (3) days) from the grant date closing price of \$41.89 per share and no more than one-third of the grant can vest per year during the first three

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.