### Edgar Filing: HAVERTY RAWSON JR - Form 4

HAVERTY R Form 4	AWSON JR										
February 01, 2	2018										
FORM	4									B APPRO	VAL
	<b>UNITE</b>	D STATES		RITIES A shington,			NGE	COMMISSIC	N OMB Numbe	r: 323	35-0287
Check this if no longe subject to Section 16 Form 4 or	er STATI	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						F Estimat burden	Expires: January 3 20 Estimated average burden hours per response 0		
Form 5 obligations may contir <i>See</i> Instruct 1(b).	Section 1	7(a) of the 1	Public U		ling Con	npany	Act	ge Act of 1934 of 1935 or Sect 940	,	SE	0.5
(Print or Type Re	esponses)										
1. Name and Ad HAVERTY I	ldress of Reportin RAWSON JR	ng Person <u>*</u>	Symbol	Name and			g	5. Relationship Issuer	of Reporting	Person(s) t	0
			HAVERTY FURNITURE COMPANIES INC [HVT]				(Check all applicable)				
(Last)	(First)	(Middle)	(Month/D	-	ansaction			X Director X Officer (g		_ 10% Owne Other (spec	
780 JOHNSC 800	)N FERRY R	D., SUITE	01/30/20	018				· · · · · · · · · · · · · · · · · · ·	nior Vice Pres	·	
ATLANTA,	(Street) GA 30342-			ndment, Da nth/Day/Year	-	l		6. Individual or Applicable Line) _X_ Form filed b Form filed b Person		ng Person	
(City)	(State)	(Zip)	Tabl	e I - Non-E	erivative	Securi	ties Ao	cquired, Disposed	l of, or Benef	icially Ow	ned
	2. Transaction D (Month/Day/Yea	ar) Execution any	ned	3. Transactic Code (Instr. 8) Code V	4. Securit mAcquired Disposed (Instr. 3,	ies (A) or of (D) 4 and 5 (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direc (D) or Indirect (I) (Instr. 4)	7. Natur Indirect	e of ial hip
Class A Common Stock								82,331	D		
Class A Common Stock								441,323 <u>(1)</u>	Ι	By H5	, LP
Class A Common Stock								441,323 <u>(1)</u>	I	By Pin Associ LLC	
Class A								11,228	Ι	Co-ttee	e Of

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Common Stock			Tr Fbo Daughter
Class A Common Stock	5,796	Ι	Co-ttee Of Tr Fbo Son
Common Stock	1,000	I	Co-ttee Of Tr Fbo Daughter
Common Stock	1,000	Ι	Co-ttee Of Tr Fbo Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Numbo of Deriva Securitie: Acquired (A) or Disposed (D) (Instr. 3, and 5)	ative s l	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Deriva Securit (Instr.
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
PRSUs 2017	(2)	01/30/2018		А	3,269		(2)	(2)	Common Stock	3,269	\$ (
RSUs 2018	<u>(3)</u>	01/30/2018		А	3,000		(3)	(3)	Common Stock	3,000	\$ (
PRSUs 2015	<u>(4)</u>						(4)	(4)	Common Stock	2,994	
PRSUs 2016	<u>(5)</u>						(5)	(5)	Common Stock	3,667	
RSUs 2014	<u>(6)</u>						(6)	(6)	Common Stock	605	
RSUs 2015	<u>(7)</u>						(7)	(7)	Common Stock	1,510	
	<u>(8)</u>						(8)	(8)		2,604	

RSUs 2016				Common Stock	
RSUs 2017	<u>(9)</u>	<u>(9)</u>	<u>(9)</u>	Common Stock	3,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HAVERTY RAWSON JR 780 JOHNSON FERRY RD. SUITE 800 ATLANTA, GA 30342-	Х	Х	Senior Vice President				
Signatures							
Jenny H. Parker, Attorney-in-Fact	01	/31/2018					

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares are held by H5, LP, a limited partnership ("H5") and are also reported herein by Pine Hill Associates, LLC, a limited
  (1) liability company ("PH"), the partnership's general partner. Mr. Haverty is the sole manager of PH. Mr. Haverty disclaimes beneficial ownership of shares held by H5 or PH except to the extent of his pecuniary interest therein.

Performance Restricted Stock Units ("PRSUs") award granted 1/30/2017 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended

- (2) differences a contrigent right to receive one share of the Company's continion stock based on the EBTIDA for the year ended
   December 31, 2017. This amount represents the number of performance units earned for fiscal year 2017, which were certified by the Executive Compensation and Employee Benefits Committee on 1/30/2018. The performance units vest on February 28, 2020.
- (3) Restricted Stock Units ("RSUs") award granted 1/30/2018 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2019. Each RSU is equivalent to one share of common stock upon vesting.

Performance Restricted Stock Units ("PRSUs") award granted 1/23/2015 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended

(4) Interpresents a contrigent right to receive one share of the Company's common stock based on the EBTIDA for the year ended
 December 31, 2015. This amount represents the number of performance units earned for fiscal year 2015, which were certified by the Executive Compensation and Employee Benefits Committee on 1/26/2016. The performance units vest on February 28, 2018.

Performance Restricted Stock Units ("PRSUs") award granted 1/26/2016 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended

- (5) Interpresents a contrigent right to receive one share of the company's common stock based on the EBTIDA for the year ended
   (5) December 31, 2016. This amount represents the number of performance units earned for fiscal year 2016, which were certified by the Executive Compensation and Employee Benefits Committee on 1/30/2017. The performance units vest on February 28, 2019.
- (6) Restricted Stock Units ("RSUs") award granted 1/17/2014 under the 2004 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2015. Each RSU is equivalent to one share of common stock upon vesting.
- (7) Restricted Stock Units ("RSUs") award granted 1/23/2015 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2016. Each RSU is equivalent to one share of common stock upon vesting.
- (8) Restricted Stock Units ("RSUs") award granted 1/26/2016 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2017. Each RSU is equivalent to one share of common stock upon vesting.
- (9) Restricted Stock Units ("RSUs") award granted 1/30/2017 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2018. Each RSU is equivalent to one share of common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

#### **Reporting Owners**

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