Alkermes plc. Form 4 March 06, 2017

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Print or Type Responses)

| 1. Name and a BIBERSTE  | _                      | Symbol    | er Name <b>an</b><br>nes plc. [ <i>A</i> | d Ticker or Trading  ALKS] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                      |   |                           |           |  |
|-------------------------|------------------------|-----------|--|----------------------------|---|---|---------------------------|-----------|--|
| (Last) (First) (Middle) |                        | 3. Date o | of Earliest T                            | ransaction                 | — (Check all applicatio)  |   |                           |           |  |
| 852 WINTER ST.          |                        |           | (Month/I<br>03/03/2                      | Day/Year)<br>2017          |   | Director 10% OwnerX Officer (give title Other (specify below)  EVP/CAO/CCO Alks Inc; Sec ALKS |                           |           |  |
| (Street)                |                        |           | 4. If Amendment, Date Original           |                            |   | 6. Individual or Joint/Group Filing(Check   |                           |           |  |
| WALTHA                  |                        | Filed(Mo  | onth/Day/Yea                             | ar)                        | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |   |                           |           |  |
| WALIIIA                 | WI, WIA 02431          |           |  |                            |   | Person  |                           |           |  |
| (City)                  | (State)                | (Zip)     | Tab                                      | le I - Non-                | Derivative Securities Acc   | quired, Disposed o  | f, or Beneficial          | lly Owned |  |
| 1.Title of Security     | 2. Transaction Day/Yea |           | on Date, if                              |                            | 4. Securities Acquired for(A) or Disposed of (D)  | 5. Amount of Securities   | 6. Ownership Form: Direct | Indirect  |  |

| (City)                               | (State)                                 | Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |        |                  |             |  |  |   |
|--------------------------------------|---|--|---|--------|------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                      | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |        |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |  | Code V  | Amount | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |
| Ordinary<br>Shares                   | 03/03/2017                              |  | M   | 3,500  | A                | \$0         | 48,414   | D  |   |
| Ordinary<br>Shares                   | 03/03/2017                              |  | F   | 1,647  | D                | \$<br>60.97 | 46,767   | D  |   |
| Ordinary<br>Shares                   |   |  |   |        |                  |             | 36,848   | I  | By 2015<br>GRAT (1)                                   |
| Ordinary<br>Shares                   |   |  |   |        |                  |             | 57,152   | I  | By 2016<br>GRAT (2)                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**OMB APPROVAL** 

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January 31,

2005

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4. 5. Number              |            | 6. Date Exercisable and |                 | 7. Title and Amount |        |
|-------------|-------------|---------------------|--------------------|---------------------------|------------|-------------------------|-----------------|---------------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction of Derivative |            | Expiration Date         |                 | of Underlying       |        |
| Security    | or Exercise |                     | any                | Code                      | Securities | (Month/Day/Year)        |                 | Securities          |        |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)                | Acquired   |                         |                 | (Instr. 3 and       | 14)    |
|             | Derivative  |                     |                    |                           | (A) or     |                         |                 |                     |        |
|             | Security    |                     |                    | Disposed of               |            |                         |                 |                     |        |
|             |             |                     |                    | (D)                       |            |                         |                 |                     |        |
|             |             |                     |                    | (Instr. 3, 4,             |            |                         |                 |                     |        |
|             |             |                     |                    |                           | and 5)     |                         |                 |                     |        |
|             |             |                     |                    |                           |            |                         |                 |                     | Amount |
|             |             |                     |                    |                           |            |                         |                 |                     | or     |
|             |             |                     |                    |                           |            | Date Exercisable        | Expiration Date | Title               | Number |
|             |             |                     |                    |                           |            | Date Energia            |                 |                     | of     |
|             |             |                     |                    | Code V                    | (A) (D)    |                         |                 |                     | Shares |
| Restricted  |             |                     |                    |                           |            |                         |                 |                     |        |
| Stock Unit  | \$ 0        | 03/03/2017          |                    | M                         | 2 500      | 03/03/2015(3)           | (3)             | Ordinary            | 3,500  |
| Award       | , pU        | 03/03/2017          |                    | 1 <b>V1</b>               | 3,300      | 03/03/2013 <u>(9)</u>   | (3)             | Shares              | 3,300  |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BIBERSTEIN KATHRYN L 852 WINTER ST. WALTHAM, MA 02451

EVP/CAO/CCO Alks Inc; Sec ALKS

### **Signatures**

/s/ Jennifer Baptiste, attorney-in-fact for Kathryn L.
Biberstein

03/06/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by the 2015 GRAT. The Reporting Person is a trustee and beneficiary of the 2015 GRAT and may be deemed to hold voting and dispositive power with regard to the reported shares held by the 2015 GRAT.
- (2) Shares held by the 2016 GRAT. The Reporting Person is a trustee and beneficiary of the 2016 GRAT and may be deemed to hold voting and dispositive power with regard to the reported shares held by the 2016 GRAT.
- (3) Shares subject to the restricted stock unit award vest in equal annual installments over a four year period, commencing on 3/3/2015.

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