Edgar Filing: POLYONE CORP - Form 4

POLYONE CORP Form 4 February 14, 2017 FORM 4 FORM 4 Check this box if no longer subject to Section 16, Form 5 obligations any continue. See Instruction 1(b). Check this box if no longer subject to Section 16, Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, State pursuant to Section 16(a) of the Securities Exchange Act of 1934, State pursuant to Section 16(a) of the Securities Exchange Act of 1934, State pursuant to Section 16(a) of the Securities Exchange Act of 1934, State pursuant to Section 16(a) of the Securities Exchange Act of 1934, State pursuant to Section 16(a) of the Securities Exchange Act of 1934, State pursuant to Section 16(a) of the Securities Exchange Act of 1934, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Investment Company Act of 1935, State pursuant to Section 16(a) of the Investment Company Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 17(a) of the Pursuant Company Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Section 16(a) of the Securities Exchange Act of 1935, State pursuant to Securities Exchange									
(Print or Type Responses)1. Name and Address of RPatterson Robert M	Symbol	. Issuer Name and Ticker or Trading mbol DLYONE CORP [POL]				5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) POLYONE CENTER WALKER ROAD		-				(Check all applicable) X_ Director 10% Owner X_ Officer (give title Other (specify below) Chairman, President & CEO			
(Street) 4. If Amend Filed(Month/ AVON LAKE, OH 44012			-	1		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State)	(Zip)	Table I - Non-I	Derivative	Secur	ities Acq	uired, Disposed of,	, or Beneficiall	y Owned	
1.Title of 2. Transac Security (Month/Da (Instr. 3)	tion Date 2A. Deem ay/Year) Execution any (Month/Da	ed 3. Date, if Transaction Code	4. Securi on(A) or Di (Instr. 3,	ties A	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Common 02/11/20 Stock	017	M	8,200	A	<u>(1)</u>	239,434.545 (2)	D		
Common 02/11/20 Stock	017	F	2,761	D	\$ 34.59	236,673.545	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: POLYONE CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			of Derivative Expiration Date Securities (Month/Day/Year) Acquired A) or Disposed of D) Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	02/11/2017		М		8,200	02/11/2017	02/11/2017	Common Stock	8,200

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Patterson Robert M POLYONE CENTER 33587 WALKER ROAD AVON LAKE, OH 44012	Х		Chairman, President & CEO			
Signatures						

Signatures

/s/ Lisa K. Kunkle, Power of Attorny For: Robert M. Patterson	02/14/2017		
**Signature of Reporting Person	Date		

Explanation of Responses:

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of PolyOne common stock.
- Includes shares acquired pursuant to dividend reinvestment since the last Form 4 was filed as well as dividend equivalents earned with (2)respect to the vested restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.