#### ENTERPRISE BANCORP INC /MA/

Form 4

January 05, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

burden hours per

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Bullock Brian H                   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol          | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|---|---|---|--|--|--|
|   | ENTERPRISE BANCORP INC /MA/ [EBTC]                          | (Check all applicable)  |  |  |  |
| (Last) (First) (Middle)  C/O ENTERPRISE BANCORP, INC., 222 MERRIMACK STREET | 3. Date of Earliest Transaction (Month/Day/Year) 11/09/2016 | Director 10% OwnerX Officer (give title Other (special below) below)  EVP - Principal Subsidiary        |  |  |  |
| (Street)  | 4. If Amendment, Date Original Filed(Month/Day/Year)        | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |  |  |  |
| LOWELL MA 01952   |   | Form filed by More than One Reporting   |  |  |  |

#### LOWELL, MA 01852

(State)

(City)

(Zip)

| Table I - Non | -Derivati | ve Seci | ırities | Acq | uired | , Dis | posed o | f, or Be | eneficially Owned |
|---------------|-----------|---------|---------|-----|-------|-------|---------|----------|-------------------|
| 2             | 4.0       |         |         |     |       |       |         | _        | 7 N.              |

Person

| (,)                                  | ()                                      | Table   | e I - Noi | n-D   | erivative S | Securi           | ties Acqu   | iirea, Disposea of   | , or Beneficial                               | y Owned   |
|--------------------------------------|---|---|-----------|---|-------------|------------------|-------------|--|---|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code      | ransaction(A) or Disposed of (D) ode (Instr. 3, 4 and 5) nstr. 8) |             |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |   |   | Code      | v   | Amount      | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (Instr. 4)                                    |   |
| Common<br>Stock                      | 11/09/2016                              |   | G         | V   | 50          | D                | \$ 0        | 58,422   | D   |   |
| Common<br>Stock                      | 01/04/2017                              |   | M         |   | 1,750       | A                | \$<br>12.51 | 60,172   | D   |   |
| Common<br>Stock                      | 01/04/2017                              |   | F         |   | 1,009       | D                | \$ 39       | 59,163   | D   |   |
| Common<br>Stock                      | 01/04/2017                              |   | M         |   | 1,500       | A                | \$<br>14.85 | 60,663   | D   |   |
| Common<br>Stock                      | 01/04/2017                              |   | F         |   | 921         | D                | \$ 39       | 59,742   | D   |   |

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Common Stock 2,677.823  $\frac{(1)}{2}$  I By Wife

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|---|-------|--|--------------------|---|--|
|   |   |                                      |   | Code V                                 | (A)   | (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 12.51  | 01/04/2017                           |   | M                                      |   | 1,750 | 03/16/2014   | 03/15/2017         | Common<br>Stock   | 1,750                                  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 14.85  | 01/04/2017                           |   | M                                      |   | 1,500 | 03/15/2015   | 03/14/2018         | Common<br>Stock   | 1,500                                  |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bullock Brian H C/O ENTERPRISE BANCORP, INC. 222 MERRIMACK STREET

**EVP - Principal Subsidiary** 

LOWELL, MA 01852

### **Signatures**

/s/ Brian H. 01/05/2017 Bullock

\*\*Signature of Date Reporting Person

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 8.1531 shares acquired through the Issuers dividend reinvestment plan on 6/1/16
- (2) Includes 7.5130 shares acquired through the Issuers dividend reinvestment plan on 9/1/16
- (3) Includes 5.9742 shares acquired through the Issuers dividend reinvestment plan on 12/1/16
- (4) Exercise of option

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.