Avery Dennison Corp Form 3 December 01, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Avery Dennison Corp [AVY] À JOHANSEN MICHAEL (Month/Day/Year) 12/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 207 GOODE AVE. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person GLENDALE, CAÂ 91203 (give title below) (specify below) Form filed by More than One VP/GM, PT & Bonding Solutions Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Ι Common Stock (Savings Plan) 3,798.1487 Savings Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	y 2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4)		Price of	`	(Instr. 5)
	Date	Expiration	Title	Amount or	Derivative	2	
	Exercisable	Date	Title	Number of	Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
2013 MSU Award	02/27/2014	02/23/2017	Common Stock	1,344 (1)	\$ 0	D	Â
2014 MSU Award	02/26/2015	02/22/2018	Common Stock	2,613 <u>(1)</u>	\$ 0	D	Â
2014 PU Award	02/23/2017	02/23/2017	Common Stock	5,460 (2)	\$ 0	D	Â
2015 MSU Award	02/25/2016	02/28/2019	Common Stock	4,229 (1)	\$ 0	D	Â
2015 PU Award	02/22/2018	02/22/2018	Common Stock	5,236 (3)	\$ 0	D	Â
2016 MSU Award	02/23/2017	02/27/2020	Common Stock	4,525 (1)	\$ 0	D	Â
2016 PU Award	02/28/2019	02/28/2019	Common Stock	5,273 (4)	\$ 0	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	Director 10% Owner Officer		Other		
JOHANSEN MICHAEL 207 GOODE AVE. GLENDALE, CA 91203	Â	Â	VP/GM, PT & Bonding Solutions	Â		

### **Signatures**

/s/ Erica Perry POA for Michael Johansen

12/01/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Market leveraged stock units vest 25% over one-, two-, three- and four-year performance periods using a conversion formula under which the number of shares earned is adjusted at each vesting date based on the percentage change in the Company's stock price plus dividend equivalents accrued during the vesting period. Each marked leveraged stock unit represents a contingent right to receive one share of Avery Dennison Corporation common stock, plus dividend equivalents.
- Performance units vest, if at all, at the end of fiscal year 2016, provided certain Company performance objectives are met as determined in February 2017. Each performance unit represents a contingent right to receive one share of Avery Dennison Corporation common stock
- Performance units vest, if at all, at the end of fiscal year 2017, provided certain Company performance objectives are met as determined in February 2018. Each performance unit represents a contingent right to receive one share of Avery Dennison Corporation common stock.
- Performance units vest, if at all, at the end of fiscal year 2018, provided certain Company performance objectives are met as determined (4) in February 2019. Each performance unit represents a contingent right to receive one share of Avery Dennison Corporation common stock.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.