#### HAVERTY FURNITURE COMPANIES INC

Form 4/A May 19, 2016

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

02/29/2016

02/29/2016

05/08/2016

05/08/2016

05/08/2016

Stock

Stock

Stock

Stock

Stock

Common

Common

Common

Common

SMITH CLARENCE H Sy			er Name <b>and</b> Ticker or Trading  RTY FURNITURE  ANIES INC [HVT]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 780 JOHNS	(First) (M	Middle) 3. Date (Month/	of Earliest Transaction  Day/Year)	_X_ Director 10% OwnerX_ Officer (give title Other (specify below) below) Chairman, President & CEO			
ATLANTA,		Filed(M-05/10/2		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  [uired, Disposed of, or Beneficially Owned]			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)	5. Amount of Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)			

V 1,351

V 1,351

970

1,422

3,700

G

G

M

M

M

D

A

A

Α

\$0

\$0

\$0

\$0

\$0

51,381 (1)

28,338

52,351

53,773

57,473

D

I

D

D

D

By Spouse

Common Stock	05/08/2016	M	3,000	A	\$ 0	60,473	D	
Common Stock	05/08/2016	F	3,034	D	\$ 18.29	57,439	D	
Class A Common Stock						87,036 <u>(1)</u>	D	
Class A Common Stock						1,950	I	By Spouse
Class A Common Stock						603,497 (2)	I	By Villa Clare, LP
Class A Common Stock						603,497 (2)	I	By West Wesley, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
PRSUs 2014.1	<u>(3)</u>	05/08/2016		M	970	(3)	(3)	Common Stock	970
PRSUs 2015.1	<u>(4)</u>	05/08/2016		M	1,422	<u>(4)</u>	<u>(4)</u>	Common Stock	1,422
RSUs 2012	<u>(5)</u>	05/08/2016		M	3,700	(5)	(5)	Common Stock	3,700
RSUs 2013	<u>(6)</u>	05/08/2016		M	3,000	<u>(6)</u>	<u>(6)</u>	Common Stock	3,000
	\$ 0	05/09/2016		A	76	<u>(7)</u>	<u>(7)</u>		76

Phantom Stock			Common Stock	
PRSUs 2014	<u>(8)</u>	<u>(8)</u> <u>(8)</u>	Common Stock	6,447
PRSUs 2015	<u>(9)</u>	<u>(9)</u> <u>(9)</u>	Common Stock	13,152
Stock Appreciation Rights	\$ 18.14	<u>(10)</u> 01/24/2020	Common Stock	22,000

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

SMITH CLARENCE H
780 JOHNSON FERRY RD.
SUITE 800
ATLANTA, GA 30342
Relationships

Chairman, President & CEO

# **Signatures**

Jenny H. Parker, Attorney-in-Fact

05/18/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amount includes an adjustment due to a clerical error.
- These shares are held by Villa Clare, LP, a limited partnership ("VC") and are also reported herein by West Wesley, LLC, a limited liability company ("WW"), the partnership's general partner. Mr. Smith is the sole manager of WW. Mr. Smith disclaims beneficial ownership of shares held by VC or WW except to the extent of his pecuniary interest therein.
- Performance Restricted Stock Units ("PRSUs") award granted 1/17/2014 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the Company's sales performance for each of the four years 2014 2017. This amount represents the number of performance units earned for fiscal 2015, which were certified by the Executive Compensation and Employee Benefits Committee on 1/26/2016 and will vest on May 8, 2016.
- Performance Restricted Stock Units ("PRSUs") award granted 1/23/2015 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the Company's sales performance for each of the four years 2015 -2018. This amount represent the number of performance units earned for fiscal 2015, which were certified by the Executive Compensation and Employee Benefits Committee on 1/26/2016 and will vest on May 8, 2016.
- (5) Restricted Stock Units ("RSUs") award granted 1/23/2012 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2013. Each RSU is equivalent to one share of common stock upon vesting.
- (6) Restricted Stock Units ("RSUs") award granted 1/24/2013 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2014. Each RSU is equivalent to one share of common stock upon vesting.
- (7) Deferred under Directors' Deferred Compensation Plan. Settlement will occur upon the earlier to occur of (i) termination of service on the Board of Directors, or (ii) death.
- (8) Performance Restricted Stock Units ("PRSUs") award granted 1/17/2014 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended

Reporting Owners 3

December 31, 2014. This amount represents the number of performance units earned for fiscal year 2014, which were certified by the Executive Compensation and Employee Benefits Committee on 1/23/2015. The performance units vest on February 28, 2017.

- Performance Restricted Stock Units ("PRSUs") award granted 1/23/2015 under the 2014 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the Company's common stock based on the EBITDA for the year ended December 31, 2015. This amount represents the number of performance units earned for fiscal year 2015, which were certified by the Executive Compensation and Employee Benefits Committee on 1/26/2016. The performance units vest on February 28, 2018.
- (10) Stock-Settled Appreciation rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2014, and expire seven years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.