

SAIA INC
Form 4/A
February 06, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Balius Brian A

(Last) (First) (Middle)
11465 JOHNS CREEK
PARKWAY, SUITE 400
(Street)

JOHNS CREEK, GA 30097

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SAIA INC [SAIA]

3. Date of Earliest Transaction
(Month/Day/Year)
11/12/2013

4. If Amendment, Date Original Filed(Month/Day/Year)
11/13/2013

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
VP-Linehaul & Ind Engineering

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) | Price | | |
| Common Stock | 11/12/2013 | | S | 6,975 D | \$ 32 | 16,338 | D |
| Common Stock | 11/12/2013 | | S | 6,960 D | \$ 32 | 9,378 | D |
| Common Stock | 11/12/2013 | 11/12/2013 | M | 6,960 A | \$ 8.0667 | 16,338 | D |
| Common Stock | 11/12/2013 | 11/12/2013 | M | 6,975 A | \$ 7.9733 | 23,313 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|--|-------|--|-----------------|---|----------------------------|
| | | | | | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options (Right to Buy) | \$ 8.0667 | 11/12/2013 | 11/12/2013 | M | | 6,960 | 02/02/2013 | 02/01/2017 | Common Stock | 6,960 |
| Stock Options (Right to Buy) | \$ 7.9733 | 11/12/2013 | 11/12/2013 | M | | 6,975 | 02/03/2012 | 02/02/2016 | Common Stock | 6,975 |
| Phantom Stock | <u>(1)</u> | | | | | | <u>(2)</u> | <u>(3)</u> | Common Stock | 9,389.34 <u>(1)</u> |
| Stock Options (Right to Buy) | \$ 10.9267 | | | | | | 05/02/2014 | 05/01/2018 | Common Stock | 6,150 |
| Stock Options (Right to Buy) | \$ 11 | | | | | | 02/02/2015 | 02/02/2019 | Common Stock | 10,065 |
| Stock Options (Right to Buy) | \$ 27.28 | | | | | | 04/30/2016 | 04/29/2020 | Common Stock | 9,510 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Balius Brian A
11465 JOHNS CREEK PARKWAY
SUITE 400
JOHNS CREEK, GA 30097

VP-Linehaul & Ind Engineering

Signatures

/s/ Stephanie R.
Maschmeier

02/06/2014

__Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Conversion rate of this derivative security on November 12, 2013 is 1.2209 resulting in 11,463.75 shares of common stock (underlying security in column 7).
- (2) Immediate
- (3) The shares of phantom stock become payable in the Company's stock upon reporting person's termination of service as a Director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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