Edgar Filing: HAVERTY RAWSON JR - Form 4

| HAVERTY Form 4 | RAWSON JR | | | | | | | | | | | |
|--|--|--------------|---|--------------------------------------|------------|-------------------|------------------|--|--|---|----------------------------------|--|
| March 04, 2 | .013 | | | | | | | | | | | |
| FORM | 14 | | CECU | | a 4 | | | NCE | | т | APPROVAL | |
| | | | AND EX , D.C. 20 | | NGE (| COMMISSIO | N OMB Number: | | | | | |
| Check this box if no longer subject to Section 16. Form 4 or | | | CHANGES IN BENEFICIAL OWN SECURITIES | | | | | | NERSHIP OF | Expires: Estimate burden h response | • | |
| Form 5 obligation may corn <i>See</i> Instruction 1(b). | ons Section 17 | (a) of the l | Public U | tility H | Iol | | npan | y Act of | e Act of 1934, f 1935 or Secti 40 | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| HAVERTY RAWSON JR Symbol HAVE | | | | er Name and Ticker or Trading | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) (| Middle) | | | | NC [HV] | .] | | _X_ Director | 10% Owner | | |
| 780 JOHN: 800 | SON FERRY RD | ., SUITE | (Month/I 02/28/2 | - | r) | | | | _X_ Officer (give below) | ve title (below) for Vice Presid | | |
| (Street) 4. If Amo Filed(Mo | | | | | | ate Origina r) | d | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| (City) | (State) | (Zip) | Tab | la I Na | | Doministino | Same | uition A or | Person | of on Donofic | ially Owned | |
| 1.Title of Security (Instr. 3) | (State) (Zip) Tab 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | 3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common | | | | Code | V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Stock | 02/28/2013 | | | М | | 6,000 | A | \$ 8.74 | 6,000 | D | | |
| Common Stock | 02/28/2013 | | | F | | 3,887 | D | \$ 18.35 | 2,113 | D | | |
| Common Stock | | | | | | | | | 1,000 | Ι | Co-ttee Of Tr Fbo Daughter | |
| Common Stock | | | | | | | | | 1,000 | I | Co-ttee Of Tr Fbo Son | |
| | | | | | | | | | 100 451 | D | | |

| Class A Common Stock | | | |
|----------------------------|--------------------|---|------------------------------------|
| Class A Common Stock | 655,823 <u>(1)</u> | I | By H5, LP |
| Class A Common Stock | 655,823 <u>(1)</u> | I | By Pine Hill Associates, LLC |
| Class A Common Stock | 105,930 | I | Co-ttee MMH Revocable Tr. |
| Class A Common Stock | 11,228 | I | Co-ttee Of Tr Fbo Daughter |
| Class A Common Stock | 5,796 | Ι | Co-ttee Of Tr Fbo Son |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number ionof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | | 6. Date Exer Expiration D (Month/Day, | ate | 7. Title and A Underlying S (Instr. 3 and | Securities |
|---|---|---|---|--|--|-------|--|--------------------|---|--|---|------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
| Stock Appreciation Rights | \$ 8.74 | 02/28/2013 | | М | | 6,000 | (2) | 01/27/2016 | Common Stock | 6,000 | | |
| PARSUs 2011-01-27 | <u>(3)</u> | | | | | | (3) | (3) | Common Stock | 5,000 | | |

| PARSUs 2012 | <u>(4)</u> | <u>(4)</u> <u>(4)</u> | Common Stock | 5,000 |
|---------------------------------|------------|-----------------------|-----------------|-------|
| Restricted Stock Units | <u>(5)</u> | <u>(5)</u> <u>(5)</u> | Common Stock | 5,600 |
| RSUs 2011-01-27 | <u>(6)</u> | <u>(6)</u> <u>(6)</u> | Common Stock | 3,750 |
| RSUs 2012 | <u>(7)</u> | <u>(7)</u> <u>(7)</u> | Common Stock | 5,000 |
| RSUs 2013 | <u>(8)</u> | <u>(8)</u> <u>(8)</u> | Common Stock | 4,500 |
| Stock Appreciation Rights | \$ 18.14 | <u>(9)</u> 01/24/2020 | Common Stock | 8,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-----------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| HAVERTY RAWSON JR 780 JOHNSON FERRY RD. SUITE 800 ATLANTA, GA 30342- | Х | Х | Senior Vice President | | | | |

Date

Signatures

Jenny H. Parker, Attorney-in-Fact 03/04/2013

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares are held by H5, LP, a limited partnership ("H5") and are also reported herein by Pine Hill Associates, LLC, a limited
(1) liability company ("PH"), the partnership's general partner. Mr. Haverty is the sole manager of PH. Mr. Haverty disclaimes beneficial ownership of shares held by H5 or PH except to the extent of his pecuniary interest therein.

(2) Stock-Settled Appreciation Rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2010, and expire seven years from the grant date.

Performance Accelerated Restricted Stock Units ("PARSUs") award granted 1/27/2011 under the 2004 Long-Term Incentive Plan.
(3) PARSUs will vest 100% four years from date of grant. Vesting will accelerate if certain market price per share conditions (as defined in the Agreement) are met.

Performance Accelerated Restricted Stock Units ("PARSUs") award granted 1/23/2012 under the 2004 Long-Term Incentive Plan.
 (4) PARSUs will vest 100% on 5/8/2019. Vesting will accelerate if a certain pre-established market price per share (as defined in the Agreement) is met.

Restricted Stock Units ("RSUs") award granted under the 2004 Long-Term Incentive Plan. RSUs vest in four yearly installments (10% in (5) each of the first three years and 70% in the fourth), beginning on 5/8/2011. Each RSU is equivalent to one share of common stock upon

(6)

vesting.

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Restricted Stock Units ("RSUs") award granted 1/27/2011 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2012. Each RSU is equivalent to one share of common stock upon vesting.

- (7) Restricted Stock Units ("RSUs") award granted 1/23/2012 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2013. Each RSU is equivalent to one share of common stock upon vesting.
- (8) Restricted Stock Units ("RSUs") award granted 1/24/2013 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2014. Each RSU is equivalent to one share of common stock upon vesting.
- (9) Stock-Settled Appreciation rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2014, and expire seven years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.