

RANKIN ROGER F
Form 3/A
January 15, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

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|---|---|--|--|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â RANKIN ROGER F</p> <p>(Last) (First) (Middle)</p> <p>5875 LANDERBROOK DRIVE</p> <p>(Street)</p> <p>MAYFIELD HEIGHTS,Â OHÂ 44124</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>09/28/2012</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>HYSTER-YALE MATERIALS HANDLING, INC. [HY]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input type="checkbox"/> Officer <input checked="" type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Member of a Group</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> <p>09/28/2012</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|--|---|--|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Class A Common Stock | 75,504 | I | spouses proportionate LP interest in shares held by RA1 ⁽¹⁾ |
| Class A Common Stock | 2,116 | I | spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P ⁽¹⁾ |
| Class A Common Stock | 3,123 | I | spouse serves as Trustee of a Trust for the benefit of Alison Rankin ⁽¹⁾ |
| Class A Common Stock | 19,140 | I | daughter's proportionate LP interest in shares held by RA II LP held in a trust ⁽¹⁾ |
| Class A Common Stock | 2,051 | I | Spouse is Trustee for the benefit of Reporting Person's daughter. ⁽¹⁾ |

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|----------------------|---------|---|--|
| Class A Common Stock | 17,252 | I | daughter's proportionate LP interest in shares held by RA II LP held in a trust ⁽¹⁾ |
| Class A Common Stock | 3,938 | I | Spouse is Co-Trustee for the benefit of Reporting Person's daughter. ⁽¹⁾ |
| Class A Common Stock | 25 | I | proportionate general partnership interest in shares of Rankin Associates IV, |
| Class A Common Stock | 4,808 | I | proportionate limited partnership interest in shares held by Rankin Associates I, L.P |
| Class A Common Stock | 33,869 | I | proportionate limited partnership interests in shares held by Rankin Associates II, L.P |
| Class A Common Stock | 85,055 | I | proportionate limited partnership interest in shares held by Rankin Associates IV, L.P |
| Class A Common Stock | 1,975 | I | proportionate interest in shares held by Rankin Management, Inc. ("RMI). |
| Class A Common Stock | 193,753 | I | Reporting Person serves as Trustee of a Trust for the benefit of Roger F. Rankin |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|------------------|--|----------------------------|--|--|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Class B Common Stock | Â ⁽²⁾ | Â ⁽²⁾ | Class A Common Stock | 75,504 | \$ ⁽²⁾ | I | Spouses proportionate LP interest in shares held by RA1 ⁽¹⁾ |
| Class B Common Stock | Â ⁽²⁾ | Â ⁽²⁾ | | 2,116 | \$ ⁽²⁾ | I | |

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|----------------------|--------------|--------------|----------------------------|--------|---------------|---|--|
| | | | Class A Common Stock | | | | spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P <u>(1)</u> |
| Class B Common Stock | Â <u>(2)</u> | Â <u>(2)</u> | Class A Common Stock | 3,123 | \$ <u>(2)</u> | I | spouse serves as Trustee of a Trust for the benefit of Alison Rankin <u>(1)</u> |
| Class B Common Stock | Â <u>(2)</u> | Â <u>(2)</u> | Class A Common Stock | 19,140 | \$ <u>(2)</u> | I | daughter's proportionate LP interest in shares held by RA II LP held in a trust <u>(1)</u> |
| Class B Common Stock | Â <u>(2)</u> | Â <u>(2)</u> | Class A Common Stock | 2,051 | \$ <u>(2)</u> | I | Spouse is Trustee for the benefit of Reporting Person's daughter <u>(1)</u> |
| Class B Common Stock | Â <u>(2)</u> | Â <u>(2)</u> | Class A Common Stock | 17,252 | \$ <u>(2)</u> | I | daughter's proportionate LP interest in shares held by RA II LP held in a trust <u>(1)</u> |
| Class B Common Stock | Â <u>(2)</u> | Â <u>(2)</u> | Class A Common Stock | 3,938 | \$ <u>(2)</u> | I | Spouse is Co-Trustee for the benefit of Reporting Person's daughter. <u>(1)</u> |
| Class B Common Stock | Â <u>(2)</u> | Â <u>(2)</u> | Class A Common Stock | 25 | \$ <u>(2)</u> | I | general partnership interest in shares of Rankin Associates IV, L.P |
| Class B Common Stock | Â <u>(2)</u> | Â <u>(2)</u> | Class A Common Stock | 4,808 | \$ <u>(2)</u> | I | proportionate limited partnership interest in shares held by Rankin Associates I, L.P |
| Class B Common Stock | Â <u>(2)</u> | Â <u>(2)</u> | Class A Common Stock | 33,869 | \$ <u>(2)</u> | I | Reporting Person's proportionate limited partnership interests in shares held by RA II |
| Class B Common Stock | Â <u>(2)</u> | Â <u>(2)</u> | Class A Common | 85,055 | \$ <u>(2)</u> | I | proportionate limited partnership |

