#### Edgar Filing: HAUSCHILD STEVEN D - Form 3

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Form 3

August 15, 2012

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement STERLING FINANCIAL CORP /WA/ [STSA] À HAUSCHILD STEVEN D (Month/Day/Year) 03/01/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 111 NORTH WALL STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) SPOKANE, WAÂ 99201 Form filed by More than One Chief Credit Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common Stock 6,226 Common Stock 451 I 401k Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) Derivative Security or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Unit	(1)	(1)	Common Stock	8,466	\$ <u>(1)</u>	D	Â
Restricted Stock Unit	(2)	(2)	Common Stock	4,996	\$ <u>(2)</u>	D	Â
Incentive Stock Option	01/31/2008	03/15/2013	Common Stock	61	\$ 2,189.22	D	Â
Incentive Stock Option	01/31/2009	02/28/2014	Common Stock	61	\$ 1,174.14	D	Â
Incentive Stock Option	01/30/2010	02/28/2015	Common Stock	61	\$ 122.1	D	Â

## **Reporting Owners**

Relationships					
Director	10% Owner	Officer	Other		
Â	Â	Chief Credit	Â		
	21100001	Director 10% Owner	Director 10% Owner Officer Chief		

## **Signatures**

/s/ Lara L. Hemingway, attorney-in-fact

08/15/2012

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit represents a contingent right to receive one share of common stock. 25% of the restricted stock units vest immediately upon grant (December 14, 2010), 25% of the restricted stock units are scheduled to vest each year over the next 3 years on the grant's anniversary date (totaling 75% over the 3 year time period), subject to the reporting person's continued employment with the Company on such date.
- Each restricted stock unit represents a contingent right to receive one share of common stock. 75% of the restricted stock units are scheduled to vest on March 13, 2014, 25% of the restricted stock units are scheduled to vest on March 13, 2015, subject to the reporting person's continued employment with the Company on such date.

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#### **Remarks:**

This is a late Form 3 filing that should have been filed within 10 days after March 1, 2012, t

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2