NETGEAR, INC Form 4 April 28, 2016

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

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January 31,

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Ad FALCON MI		ting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol NETGEAR, INC [NTGR]	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
NETGEAR, I PLUMERIA	· · · · · · · · · · · · · · · · · · ·		(Month/Day/Year) 04/26/2016	Director 10% Owner _X_ Officer (give title Other (specify below)  SVP, Operations		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
SAN JOSE, O	CA 95134		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acc	quired, Disposed of, or Beneficially Owned		

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acqu	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	action Date 2A. Deemed		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	0.4/0.6/001.6		Code V	Amount	, ,	Price	Transaction(s) (Instr. 3 and 4)	`	
Stock	04/26/2016		M <u>(1)</u>	1,595	A		39,174	D	
Common Stock	04/26/2016		S(1)	1,595	D	\$ 39.46	37,579	D	
Common Stock	04/26/2016		M(1)	1,459	A	\$ 21.1	39,038	D	
Common Stock	04/26/2016		S(1)	1,459	D	\$ 39.46	37,579	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	ransaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 21.1	04/26/2016		M <u>(1)</u>		1,459	<u>(2)</u>	02/02/2020	Common Stock	1,459
Employee Stock Option (Right to Buy)	\$ 20.8	04/26/2016		M(1)		1,595	(3)	06/13/2020	Common Stock	1,595
Employee Stock Option (Right to Buy)	\$ 35.32						<u>(4)</u>	02/03/2021	Common Stock	20,000
Employee Stock Option (Right to Buy)	\$ 33.15						<u>(5)</u>	04/26/2021	Common Stock	3,400
Employee Stock Option (Right to Buy)	\$ 31.31						<u>(6)</u>	06/06/2022	Common Stock	9,142
Employee Stock Option	\$ 32.54						<u>(7)</u>	05/16/2023	Common Stock	18,231

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(Right to Buy)					
Employee Stock Option (Right to Buy)	\$ 32.52	(8)	06/03/2024	Common Stock	25,000
Employee Stock Option (Right to Buy)	\$ 31.28	<u>(9)</u>	06/02/2025	Common Stock	25,000
Employee Stock Option (Right to Buy)	\$ 39.53	<u>(9)</u>	03/24/2026	Common Stock	25,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
FALCON MICHAEL F NETGEAR, INC. 350 E. PLUMERIA DR. SAN JOSE CA 95134			SVP, Operations				

## **Signatures**

/s/ Andrew W. Kim, Attorney o4/28/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise and sale reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 26, 2016.
- (2) 25% of the option grant is exercisable on 2/2/2011, and 1/48 of the option grant is exercisable each month thereafter.
- (3) 25% of the shares subject to the option shall vest on 6/13/2011, and 1/48th of the shares subject to the option shall vest each month thereafter, subject to the optionee continuing to be a service provider on such dates.
- (4) 25% of the option grant is exercisable on 2/3/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (5) 25% of the option grant is exercisable on 4/26/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (6) 25% of the option grant is exercisable on 6/6/2013, and 1/48 of the option grant is exercisable each month thereafter.
- (7) 25% of the option grant is exercisable on 5/16/2014, and 1/48 of the option grant is exercisable each month thereafter.
- (8) 25% of the options will be exercisable on 6/03/2015, and 1/48 of the option grant is exercisable each month thereafter.

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This Option shall be exercisable, in whole or in part, in accordance with the following schedule: 25% of the Shares subject to the Option (9) shall vest twelve months after the Vesting Start Date, and 1/48 of the Shares subject to the Option shall vest each month thereafter, subject to the Optionee continuing to be a Service Provider on such dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.