

SATEGNA THOMAS G
Form 4
March 08, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SATEGNA THOMAS G

(Last) (First) (Middle)

CORPORATE
HEADQUARTERS, MS 1275

(Street)

ALBUQUERQUE, NM 87158-1275

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PNM RESOURCES INC [PNM]

3. Date of Earliest Transaction
(Month/Day/Year)
03/06/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

VP AND CORP CNTRL

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock <u>(1)</u>	03/06/2013		M		3,187 A <u>(1)</u>	12,577	D
Common Stock <u>(2)</u>	03/06/2013		A		3,701 A <u>(2)</u>	16,278	D
Common Stock <u>(3)</u>	03/06/2013		F		1,017 D \$ 23.09	15,261	D
Common Stock <u>(4)</u>	03/06/2013		F		1,180 D \$ 23.09	14,081	D
Common Stock	03/08/2013		M		10,000 A \$ 7.98 <u>(5)</u>	24,081	D

Edgar Filing: SATEGNA THOMAS G - Form 4

Common Stock	03/08/2013	M	6,800	A	\$ (5) 12.22	30,881	D
Common Stock	03/08/2013	S	10,000	D	\$ 23.08	20,881	D
Common Stock	03/08/2013	S	6,800	D	\$ 23.08	14,081	D
Common Stock	03/08/2013	S	9,389	D	\$ 22.99	4,692	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options	(5)	03/08/2013		M	V (A) (D) 10,000	(6) (7)	Common Stock	10,000 \$
Stock Options	(5)	03/08/2013		M	6,800	(6) (7)	Common Stock	6,800 \$
Phantom Stock Shares	(8)					(9) (9)	Common Stock	12,490
Restricted Stock Rights	(11)	03/06/2013		M	1,323	(12) (12)	Common Stock	1,323 \$

