

TREATY OAK BANCORP INC

Form 3

November 16, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Bostick Sheila

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

11/15/2007

3. Issuer Name **and** Ticker or Trading Symbol

TREATY OAK BANCORP INC [TOAK]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Senior Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

101 WESTLAKE DRIVE

(Street)

AUSTIN,Â TXÂ 78746

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3.
Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

2,160

I

Prime Vest Financial Services,
Custodian for Sheila Bostick -
IRA

Common Stock

1,205

I

Sheila Mangum-Bostick and
Ynema Mangum Joint Tenant

Common Stock

6,810

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Options to acquire common stock	Â <u>(1)</u>	05/19/2014	Common Stock	3,500	\$ 8.33	D	Â
Options to acquire common stock	Â <u>(2)</u>	10/24/2015	Common Stock	4,000	\$ 8.5	D	Â
Options to acquire common stock	Â <u>(3)</u>	10/24/2015	Common Stock	1,000	\$ 8.5	D	Â
Options to acquire common stock	Â <u>(4)</u>	09/25/2016	Common Stock	5,000	\$ 8.75	D	Â
Options to acquire common stock	Â <u>(5)</u>	09/29/2017	Common Stock	2,000	\$ 10.5	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bostick Sheila 101 WESTLAKE DRIVE AUSTIN, TX 78746	Â	Â	Â Senior Vice President	Â

Signatures

/s/ Sheila
Bostick
11/15/2007

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) one third vests 5/20/05, one third vests 5/20/06, and one third vests 5/20/07
- (2) one third vests 10/25/06, one third vests 10/25/07, and one third vests 10/25/08
- (3) one third vests 10/25/06, one third vests 10/25/07, and one third vests 10/25/08
- (4) one third vests 9/26/07, one third vests 9/26/08, and one third vests 9/26/09
- (5) one third vests 9/30/08, one third vests 9/30/09, and one third vests 9/30/10

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.