

TREATY OAK BANCORP INC

Form 3

November 16, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
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burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *Nash Jeffrey L
(Last) (First) (Middle)

101 WESTLAKE DR.

(Street)

AUSTIN, TX 78746

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
11/15/20073. Issuer Name and Ticker or Trading Symbol
TREATY OAK BANCORP INC [TOAK]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

CEO & President

5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3.
Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

81,352

D

Nash

Common Stock

600

I

Jeffrey L. Nash, Trustee for Kaci
L. Nash

Common Stock

600

I

Jeffrey L. Nash, Trustee for Kelli
N. Nash

Common Stock

3,009

I

National Financial Services,
Custodian for Jeffrey L. Nash
Rollover IRAReminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Options to acquire common stock	05/20/2004	05/19/2014	Common Stock	15,000	\$ 8.33	D	Â
Options to acquire common stock	10/25/2005	10/24/2015	Common Stock	10,000	\$ 8.5	D	Â
Options to acquire common stock	07/25/2006	07/24/2016	Common Stock	5,000	\$ 8.5	D	Â
Options to acquire common stock	10/25/2006	10/24/2016	Common Stock	15,000	\$ 8	D	Â
Options to acquire common stock	07/15/2007	07/14/2017	Common Stock	10,000	\$ 10.95	D	Â
Options to acquire common stock	04/13/2003	04/12/2013	Common Stock	20,303	\$ 6.16	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Nash Jeffrey L 101 WESTLAKE DR. AUSTIN, TX 78746	Â X	Â	Â CEO & President	Â

Signatures

/s/ Jeffrey L.
Nash
11/15/2007
Date

**Signature of
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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