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LIGHTPATH TECHNOLOGIES INC

Form 8-K November 14, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report: November 14, 2016 (Date of earliest event reported)

LightPath Technologies Inc.

(Exact name of registrant as specified in its charter)

FL

(State or other jurisdiction of incorporation) 000-27548

(Commission File Number) 86-0708398

(IRS Employer

Identification Number)

2603 Challenger Tech CT, Suite 100

(Address of principal executive offices) 32826

(Zip Code)

407-382-4003

(Registrant's telephone number, including area code)

Not Applicable

(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

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o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02. Results of Operations and Financial Condition

The Registrant issued a Press Release on November 14, 2016 announcing the results for the first fiscal quarter ended September 30, 2016. A copy of the Press Release is attached as Exhibit 99.1 to this Report.

Item 9.01. Financial Statements and Exhibits

(d) Exhibits

99.1 Press Release of LightPath Technologies Inc. dated November 14, 2016

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: November 14, 2016

LIGHTPATH TECHNOLOGIES INC.

By: <u>/s/ Dorothy M Cipolla</u>
Dorothy M Cipolla

CFO

Exhibit Index Exhibit No. Description 99.1 Press Release of LightPath Technologies Inc. dated November 14, 2016