

ALIGN TECHNOLOGY INC
Form 8-K
May 17, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 8 K
CURRENT REPORT
Pursuant to Section 13 or 15(d) of
the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported)

May 17, 2018 (May 16, 2018)

ALIGN TECHNOLOGY, INC.
(Exact name of registrant as specified in its charter)

| | | |
|------------------------------------------------|--------------------------|-----------------------------------|
| Delaware | 0-32259 | 94-3267295 |
| (State or other jurisdiction of incorporation) | (Commission File Number) | (IRS Employer Identification No.) |

2820 Orchard Parkway
San Jose, California 95134
(Address of principal executive offices, including zip code)

(408) 470-1000
(Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter)

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. []

ITEM 5.07. Submission of Matters to a Vote of Security Holders

Align Technology, Inc. (the "Company") held its 2018 Annual Meeting of Stockholders on May 16, 2018 (the "Annual Meeting"). At the Annual Meeting, the stockholders voted on the following three proposals and cast their votes as described below. For more information about these proposals, see the Company's proxy statement dated April 5, 2018, the relevant portions of which are incorporated herein by reference.

Proposal 1

The following ten nominees received a majority of the votes cast and were elected to the Board of Directors and will serve as directors until the next annual meeting of stockholders or until their respective successors have been duly elected and qualified.

| Director Nominee | Votes For | Against | Abstain | Non-Votes |
|------------------------|------------|-----------|---------|-----------|
| Kevin J. Dallas | 61,266,972 | 50,640 | 30,981 | 8,717,714 |
| Joseph M. Hogan | 60,765,467 | 553,779 | 29,348 | 8,717,713 |
| Joseph Lacob | 59,192,656 | 2,118,394 | 37,543 | 8,717,714 |
| C. Raymond Larkin, Jr. | 59,923,894 | 1,387,579 | 37,121 | 8,717,713 |
| George J. Morrow | 59,303,780 | 2,014,935 | 29,876 | 8,717,716 |
| Thomas M. Prescott | 60,356,222 | 954,081 | 38,290 | 8,717,714 |
| Andrea L. Saia | 61,107,357 | 205,848 | 35,387 | 8,717,715 |
| Greg J. Santora | 59,893,771 | 1,423,383 | 31,439 | 8,717,714 |
| Susan E. Siegel | 61,259,090 | 54,038 | 35,465 | 8,717,714 |
| Warren S. Thaler | 59,912,995 | 1,405,458 | 30,140 | 8,717,714 |

Proposal 2

Proposal 2 was a management proposal to ratify the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for fiscal year 2018, as described in the proxy materials. This proposal was approved.

For 68,660,286
 Against 1,246,883
 Abstain 159,138

Proposal 3

Proposal 3 was a management proposal to hold an advisory vote to approve the compensation of the Company's named executive officers, as described in the proxy materials. This proposal was approved.

For 58,489,666
 Against 2,738,259
 Abstain 120,496
 Non Votes 8,717,886

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: May 17, 2018 ALIGN TECHNOLOGY, INC.

By: /s/ Roger E. George
Roger E. George
Sr. Vice President, Chief Legal and Regulatory Officer