

Wilbur Norman G
Form 4
March 07, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Wilbur Norman G

2. Issuer Name **and** Ticker or Trading
Symbol
SECURITY NATIONAL
FINANCIAL CORP [SNFCA]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
11825 LAMPWICK LANE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/31/2012

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

FRISCO, TX 75035

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common Stock	12/31/2012		M		6,078	A	\$ 1.23
							0
							D
Class A Common Stock	12/31/2012		M		1,216	A	\$ 1.16
							0
							D
Class A Common Stock	12/31/2012		M		1,103	A	\$ 1.66
							10,253
							D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Director Stock Option (right to buy)	\$ 3.96 ⁽¹⁾	12/07/2006		A	1,340 ⁽¹⁾	12/07/2007 12/07/2016	Class A Common Stock 1,340
Director Stock Option (right to buy)	\$ 2.94 ⁽²⁾	12/07/2007		A	1,277 ⁽²⁾	12/07/2008 12/07/2017	Class A Common Stock 1,277
Director Stock Option (right to buy)	\$ 3.17 ⁽³⁾	03/31/2008		A	3,039 ⁽³⁾	03/31/2009 03/31/2018	Class A Common Stock 3,039
Director Stock Option (right to buy)	\$ 1.23 ⁽⁴⁾	12/05/2008		M	6,077 ⁽⁴⁾	12/05/2009 12/05/2018	Class A Common Stock 6,077
Director Stock Option (right to buy)	\$ 1.16 ⁽⁵⁾	12/07/2008		M	1,216 ⁽⁵⁾	12/07/2009 12/07/2018	Class A Common Stock 1,216
Director Stock Option (right to buy)	\$ 3.04 ⁽⁶⁾	12/04/2009		A	5,789 ⁽⁶⁾	03/04/2010 12/04/2019	Class A Common Stock 5,789

buy)

Director
StockOption \$ 3.04 ⁽⁷⁾ 12/07/2009
(right to
buy)

A

1,158
⁽⁷⁾

03/07/2010

12/07/2019

Class A
Common
Stock1,1
(7)Director
StockOption \$ 1.66 ⁽⁸⁾ 12/03/2010
(right to
buy)

A

5,513
⁽⁸⁾

03/03/2011

12/03/2020

Class A
Common
Stock5,5
(8)Director
StockOption \$ 1.66 ⁽⁹⁾ 12/07/2010
(right to
buy)

M

1,103
⁽⁹⁾

03/07/2011

12/07/2020

Class A
Common
Stock1,1
(9)Director
StockOption \$ 1.24 ⁽¹⁰⁾ 12/02/2011
(right to
buy)

A

5,250
⁽¹⁰⁾03/02/2012⁽¹⁰⁾

12/02/2021

Class A
Common
Stock5,2
(10)Director
StockOption \$ 1.24 ⁽¹¹⁾ 12/07/2011
(right to
buy)

A

1,000
⁽¹¹⁾03/07/2011⁽¹¹⁾

12/07/2021

Class A
Common
Stock1,0
(11)Director
StockOption \$ 1.56 ⁽¹²⁾ 04/13/2012
(right to
buy)

A

5,000
⁽¹²⁾07/13/2012⁽¹²⁾

04/13/2022

Class A
Common
Stock5,0
(12)Director
StockOption \$ 7.42 ⁽¹³⁾ 12/07/2012
(right to
buy)

A

1,000
⁽¹³⁾03/07/2013⁽¹³⁾

12/07/2022

Class A
Common
Stock1,0
(13)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Wilbur Norman G 11825 LAMPWICK LANE FRISCO, TX 75035	X			

Signatures

/s/Norman G.
Wilbur

03/06/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option was originally reported as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$5.31 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 9, 2007, February 8, 2008, February 6, 2009, February 5, 2010, February 4, 2011 and February 3, 2012.

(2) This option was originally reported as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.75 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 8, 2008, February 6, 2009, February 5, 2010, February 4, 2011 and February 3, 2012

(3) This option was originally reported as an option for 2,500 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011 and February 3, 2012

(4) This option was originally reported as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.50 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011 and February 3, 2012

(5) This option was originally reported as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.41 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 6, 2009 and February 5, 2010, February 4, 2011 and February 3, 2012.

(6) This option was originally reported as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 5, 2010, February 4, 2011 and February 3, 2012.

(7) This option was originally reported as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 5, 2010, February 4, 2011 and February 3, 2012.

(8) This option was originally reported as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.83 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 4, 2011 and February 3, 2012.

(9) This option was originally reported as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.83 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 4, 2011 and February 3, 2012.

(10) This option was granted on December 2, 2011 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.30 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect a 5% stock dividend paid on February 3, 2012. This option vests in four equal quarterly installments of Class A Common Stock over a one year period, beginning on March 2, 2012, until such shares are fully vested.

(11) This option was granted on December 7, 2011 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.24 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Plan to reflect a 5% stock dividend paid on February 3, 2012. The option vests in four equal quarterly installments of Class A Common Stock over a one year period, beginning on March 7, 2012, until such shares are fully vested.

(12) This option was granted on April 13, 2012 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.56 per share. This option vests in four equal quarterly installments of Class A Common Stock over a one year period, beginning on July 13, 2012, until such shares are fully vested.

(13) This option was granted on December 7, 2012 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$7.42 per share. This option vests in four equal quarterly installments of Class A Common Stock over a one year period, beginning on March 7, 2013, until such shares are fully vested.

Edgar Filing: Wilbur Norman G - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.