CONSUMER PORTFOLIO SERVICES INC
Form 4
January 29, 2014
FORM 4
(Print or Type Responses)

| 1. Name and Address of Reporting Person * <br> ROBERTS WILLIAM B | 2. Issuer Name and Ticker or Trading <br> Symbol |
| :---: | :--- |
|  | CONSUMER PORTFOLIO |
| (Last) | (First) |
|  | (Middle) |
| SERVICES INC [CPSS] |  |
| 126 EAST 56TH STREET | 3. Date of Earliest Transaction |
| (Month/Day/Year) |  |
| (Street) | 01/27/2014 |
|  | 4. If Amendment, Date Original |
| Filed(Month/Day/Year) |  |

NEW YORK, NY 10022
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB Number:
Expires: January 31,
Estimated average burden hours per response...
Form 4 or
Form 5
obligations may continue. See Instruction 1(b).
Check this box if no longer ject to Fom Form 5 obligation

| Check this box | Washington, D.C. 20549 |
| :--- | :---: |
| if no longer | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF |
| subject to |  |
| Section 16. | SECURITIES |

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

## Washington, D.C. 20549 SECURITIES

| (City) | (State) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. <br> Transacti Code (Instr. 8) <br> Code V | 4. Securit (A) or Dis (Instr. 3, 4 <br> Amount | ies Ac posed 4 and 5 <br> (A) <br> or <br> (D) | quired of (D) ) <br> Price | 5. Amount of Securities <br> Beneficially <br> Owned <br> Following <br> Reported <br> Transaction(s) <br> (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| common <br> stock, no <br> par value | 01/27/2014 |  | M | 20,000 | A | $\begin{aligned} & \$ \\ & 6.85 \end{aligned}$ | 914,107 | D |  |
| common stock, no par value | 01/27/2014 |  | M | 15,000 | A | $\begin{aligned} & \$ \\ & 5.26 \end{aligned}$ | 929,107 | D |  |
| common stock, no par value | 01/27/2014 |  | M | 10,000 | A | $\begin{aligned} & \$ \\ & 3.18 \end{aligned}$ | 939,107 | D |  |
| common <br> stock, no | 01/27/2014 |  | M | 30,000 |  | $\begin{aligned} & \$ \\ & 0.77 \end{aligned}$ | 969,107 | D |  |

par value
common
$\begin{array}{llllllll}\text { stock, no } & \text { 01/27/2014 } & \text { M } & 40,000 & \text { A } & \$ & 7.97 & 1,009,107\end{array}$ D
par value
common
stock, no
01/27/2014
$\begin{array}{lllllll}\text { M } & 25,000 & \text { A } & \$ & 1.03 & 1,034,107 & \text { D }\end{array}$
par value
common
stock, no
par value
01/27/2014
M $\quad 18,000$ A $\$ 1.2 \quad 1,052,107 \quad$ D
common
stock, no
01/27/2014
$\begin{array}{llllll}\text { M } & 7,000 & \text { A } & \$ \\ 1.94 & 1,059,107 & \text { D }\end{array}$
par value
common
stock, no
01/27/2014
$\begin{array}{llllll}\text { M } & 50,000 & \text { A } & \$ & \$ .86 & 1,109,107\end{array}$ D
par value
common
stock, no
01/27/2014
M $\quad 12,000 \quad$ A
par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of
SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. <br> Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed <br> Execution Date, if any (Month/Day/Year) | $\begin{array}{ll} \text { 4. } & \text { 5. Number of } \\ \text { Transactionerivative } \\ \text { Code } & \text { Securities } \\ \text { (Instr. 8) } & \text { Acquired (A) } \\ & \text { or Disposed of } \\ & \text { (D) } \\ & \text { (Instr. 3, 4, } \\ & \text { and 5) } \end{array}$ |  | 6. Date Exercisable and Expiration Date (Month/Day/Year) |  | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  | Date <br> Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options | \$ 6.85 | 01/27/2014 |  | M | 20,000 | 04/25/2007 | 10/25/2016 | Common, no par value | 20,000 |
| Stock Options | \$ 5.26 | 01/27/2014 |  | M | 15,000 | 01/30/2008 | 07/28/2017 | Common, no par value | 15,000 |


| Stock Options | \$ 3.18 | 01/27/2014 | M | 10,000 | 07/30/2008 | 01/30/2018 | Common, <br> no par <br> value | 10,000 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Stock Options | \$ 0.77 | 01/27/2014 | M | 30,000 | 11/13/2009 | 05/13/2019 | Common, no par value | 30,000 |
| Stock Options | \$ 7.97 | 01/27/2014 | M | 40,000 | 11/07/2013 | 05/07/2023 | Common, no par value | 40,000 |
| Stock Options | \$ 1.03 | 01/27/2014 | M | 25,000 | 12/03/2011 | 06/03/2021 | Common, no par value | 25,000 |
| Stock Options | \$ 1.2 | 01/27/2014 | M | 18,000 | 10/03/2012 | 04/03/2022 | Common, no par value | 18,000 |
| Stock Options | \$ 1.94 | 01/27/2014 | M | 7,000 | 01/16/2013 | 07/16/2022 | Common, no par value | 7,000 |
| Stock Options | \$ 6.86 | 01/27/2014 | M | 50,000 | 08/01/2013 | 02/01/2023 | Common, no par value | 50,000 |
| Stock Options | \$ 1.81 | 01/27/2014 | M | 12,000 | 10/27/2010 | 04/27/2020 | Common, no par value | 12,000 |

## Reporting Owners

Reporting Owner Name / Address

## Relationships

Director 10\% Owner Officer Other
ROBERTS WILLIAM B
126 EAST 56TH STREET X
NEW YORK, NY 10022

## Signatures

/s/ William B.
Roberts
01/29/2014
Date
${ }^{* *}$ Signature of
Reporting Person

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
(1) Issued in consideration of named person's service as a director of the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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